

**METHODS FOR DIAGNOSING, PREVENTING, AND TREATING  
DEVELOPMENTAL DISORDERS DUE TO A COMBINATION OF  
GENETIC AND ENVIRONMENTAL FACTORS**

CROSS-REFERENCE TO RELATED APPLICATIONS

- 5 The present application is a non-provisional application claiming the priority of  
compending provisional U.S. Serial No. 60/136,198 filed May 25, 1999, the disclosure  
of which is hereby incorporated by reference in its entirety. Applicants claim the  
benefits of this application under 35 U.S.C. §119(e).

FIELD OF THE INVENTION

- 10 The invention relates generally to novel methods of diagnosing, preventing, and  
treating specific diseases which are caused by a combination of genetic and  
environmental factors. One such disease exemplified is schizophrenia.

BACKGROUND OF THE INVENTION

- The term "schizophrenia" was introduced by Bleuler in the beginning of this century  
15 to encompass a dissociation or disruption of thought processes, along with a  
dichotomy among thought, emotion, and behavior [Bleuler, *Translation J. Zinkin*,  
New York: International University Press (1950)]. The current definition of  
schizophrenia includes a break with reality that is usually manifested as  
hallucinations, delusions, or disruption in thought processes [Carpenter *et al.*, *Medical*  
20 *Progress*, **330**:681-690 (1994)]. At present the nationally accepted definition for the  
diagnosis of schizophrenia is contained in Diagnostic and Statistical Manual for  
Mental Disorders, Fourth Edition, Washington, D.C (1994): American Psychiatric  
Association, hereby incorporated by reference in its entirety.

- Schizophrenia is a clinical syndrome that has a profound influence on public health.  
25 The symptoms for schizophrenia begin early in life, and continues for most patients  
throughout their lives. An estimate of the direct and indirect costs of schizophrenia  
was thirty-three billion dollars for 1990 in the United States alone [Carpenter *et al.*,

1994, *supra*]. Indeed, one of every forty dollars spent for total health care expenditures in the United States is spent on treating schizophrenia [Rupp *et al.*, *Psychiatric Clin. North Am.*, **16**:413-423 (1993)]. Furthermore, estimates have been made suggesting that up to 50% of the homeless American population is

5 schizophrenic [Bachrach, In: *Treating the Homeless Mentally Ill*, Washington, D.C., American Psychiatric Press, 13-40, Lamb *et al.* ed. (1992)].

The genetic factors in schizophrenia, though clearly documented to be present, are not simple [Carpenter and Buchanan, *N. Engl. J. Med.*, **330**:681-689 (1994); Gottesman, *Clin. Genet.*, **46**:116-123 (1994)]. Schizophrenia is, at least in part, a

10 neurodevelopmental disorder, a birth defect in which the brain has been subtly damaged during development [Carpenter and Buchanan, *N. Engl. J. Med.*, **330**:681-689 (1994); Weinberger, *Arch. Gen. Psychiatry*, **44**:660-669 (1987); Brixey *et al.*, *J. Clin. Psychol.*, **49**:447-456 (1993)]. Evidence of this damage is seen both at autopsy [Kovelman and Scheibel, *Biol. Psychiatry*, **19**:1601-1621 (1984); Bogerts *et al.*, *Arch.*

15 *Gen. Psychiatry*, **42**:784-791 (1985); Jakob and Beckman, *J. Neural Transm.*, **65**:303-326 (1986); Brown *et al.*, *Arch. Gen. Psychiatry*, **43**:36-42 (1986); Benes and Bird, *Arch Gen Psychiatry*, **44**:608-616 (1987); Colter *et al.*, *Arch Gen Psychiatry*, **44**:1023 (1987); Altshuler *et al.*, *Arch. Gen. Psychiatry*, **47**:1029-1034 (1990); Pakkenberg, *Schizophr. Res.*, **7**:95-100 (1992); Bogerts, *Schizophr. Bull.*, **19**:431-445

20 (1993); Shapiro, *Schizophr. Res.*, **10**:187-239 (1993)] and by neuroimaging [Jeste *et al.*, *Br. J. Psychiatry*, **153**:444-459 (1988); Suddath *et al.*, *Am. J. Psychiatry*, **146**:464-472 (1989); Suddath *et al.*, *N. Engl. J. Med.*, **322**:789-794 (1990); DeLisi *et al.*, *Biol. Psychiatry*, **29**:159-175 (1991); Breier *et al.*, *Arch. Gen. Psychiatry*, **49**:921-926 (1992); O'Callaghan *et al.*, *J. R. Soc. Med.*, **85**:227-231 (1992); Bogerts *et al.*,

25 *Biol. Psychiatry*, **33**:236-246 (1993); Andreasen *et al.*, *Science*, **266**:294-298 (1994)]. The pattern of this brain damage and the presence of minor congenital abnormalities point to an insult occurring during the second trimester of fetal development [Bracha *et al.*, *Biol. Psychiatry*, **30**:719-725 (1991); Bracha *et al.*, *Am. J. Psychiatry*, **149**:1355-1361 (1992); Green *et al.*, *Psychiatry Res.*, **53**:119-127 (1994)].

30 Epidemiological studies have documented a season-of-birth effect by which schizophrenics are more frequently born during winter and early spring than during other seasons [Boyd *et al.*, *Schizophr. Bull.*, **12**:173-186 (1986); Kendell and Adams,

Br. J. Psychiatry, **158**:758-763 (1991); O'Callaghan *et al.*, Br. J. Psychiatry, **158**:764-769 (1991)]. Also, individuals exposed to an influenza epidemic [Mednick *et al.*, Arch. Gen. Psychiatry, **45**:189-192 (1988); Barr *et al.*, Arch. Gen. Psychiatry, **47**:869-874 (1990); O'Callaghan *et al.*, Lancet., **337**:1248-1250 (1991); Murray *et al.*, J. Psychiatr. Res., **26**:225-235 (1992); Adams *et al.*, Br. J. Psychiatry, **163**:522-534 (1993)] or famine [Susser and Lin, Arch. Gen. Psychiatry, **49**:983-988 (1992)] during their second trimester of fetal development have increased risk of later developing schizophrenia, according to some studies but not others [Kendell, Arch. Gen. Psychiatry, **46**:878-882 (1989); Crow and Done, Br. J. Psychiatry, **161**:390-393 (1992)]. This has suggested that an environmental effect such as dietary deficiency, virus infection [Kirch, Schizophr. Bull., **19**:355-370 (1993)], vitamin deficiency, or effect of cold weather may be acting during fetal development.

Linkage mapping studies in schizophrenia have been difficult. Recently, some studies [Straub *et al.*, Nature Genet., **11**:287-293 (1995); Schwab *et al.*, Nature Genet., **11**:325-327 (1995); Moises *et al.*, Nature Genet., **11**:321-324 (1995)] have supported a gene locus on chromosome 6 (6p24-22, near the HLA region) as having an effect in schizophrenia; other studies gave little or no support to a marker in this region [Wang *et al.*, Nature Genet., **10**:41-46 (1995); Mowry *et al.*, Nature Genet., **11**:233-234 (1995); Gurling *et al.*, Nature Genet., **11**:234-235 (1995); Antonarakis *et al.*, Nature Genet., **11**:235-236 (1995)]. At best this locus appeared to be involved in only about 15-30% of families [Straub *et al.*, 1995, *supra*]. Also, some evidence for loci on chromosomes 3 [Pulver *et al.*, Am. J. Med. Genet., **60**:252-260 (1995), 8 [Pulver *et al.*, Am. J. Med. Genet., **60**:252-260 (1995); Kendler *et al.*, Am. J. Psych. **153**:1534-1540 (1996), 9 [Coon *et al.*, Biol. Psychiatry, **34**:277-289 (1993); Moises *et al.*, Nature Genet., **11**:321-324 (1995)] and 22 [Coon *et al.*, Am. J. Med. Genet., **54**:72-79 (1994); Pulver *et al.*, Am. J. Med. Genet., **54**:3-43 (1994)] have been reported. In addition, two polymorphic markers very close to the gene encoding dihydrofolate reductase (DHFR) on chromosome 5q, D5S76 and D5S39, gave very high lod scores (as high as 6.49, *i.e.* odds of about 3 million to one in favor of genetic linkage versus chance occurrence) in 7 British and Icelandic schizophrenia families studied [Schwab *et al.*, Nat. Genet. **11**:325-327 (1997); Straub *et al.*, Molec

Psychiatr. 2:148-155 (1997)]. However, this result could not be confirmed in studies of numerous other families.

There could be several reasons for this difficulty. First, there may be more than one gene involved, (locus heterogeneity). Second, the genetic factor(s) may be common  
 5 in the population (high disease allele frequency), thus diminishing the power of linkage studies [Terwilliger and Ott, *Handbook of Human Genetic Linkage*, Baltimore: Johns Hopkins Univ. Pr., 181 (1994)]. Third, the correct genetic model may be unknown [Owen, *Psychol. Med.*, 22:289-293 (1992)]. Any or all of these factors could diminish the power of a linkage study sufficiently to make success very  
 10 difficult [Terwilliger and Ott, 1994, *supra*].

Thus the current (developmental) model for schizophrenia is that genetic and environmental factors cause brain damage in a fetus that later develops schizophrenia. However, the genetic and environmental factors have not been identified. Also, extensive linkage and association studies have failed to identify genes determining  
 15 schizophrenia.

Indeed, schizophrenia appears to be just one of a family of developmental disorders whose cause has not been identified. Other such developmental disorders are defined by the Diagnostic and Statistical Manual for Mental Disorders, Fourth Edition, Washington, D.C (1994) and include: Tourette Syndrome which is identical to  
 20 Tourette's Disorder and is a subcategory of Tic Disorders; Bipolar Disorder which is identical with Bipolar I Disorder or Bipolar II disorder; Autism which is identical with Autistic Disorder which is a subcategory of Pervasive Developmental Disorders; Conduct disorder which is a subcategory of Attention-Deficit and Disruptive Behavioral Disorders; Attention-Deficit Hyperactivity Disorder which is identical to  
 25 Attention-Deficit/Hyperactivity Disorder and to Attention-Deficit/Hyperactivity Disorder NOS (not otherwise specified) which is also a subcategory of Attention-Deficit and Disruptive Behavioral Disorders; Obsessive-Compulsive Disorder which is a subtype of Anxiety Disorders; Chronic Multiple Tics Syndrome which is identical to Chronic Motor or Vocal Tic Disorder which is a subtype of Tic Disorders; and  
 30 Learning Disorders.

In addition Spina bifida is a developmental disorder. Spina bifida is a form of neural tube defect in which neural elements (spinal nerves or spinal chord) or coverings of the brain and spinal chord (dura mater, arachnoid mater) herniate through a midline defect into a cystic cavity covered completely or partially by skin.

- 5 Therefore, there is a need for new methods of diagnosing individuals susceptible to developing a developmental disorder. In addition, there is a need for methods of identifying individuals susceptible to having offspring that develop a developmental disorder. Finally, there is a need for a method of treating such susceptible individuals in order to prevent and/or ameliorate the symptoms due to and/or associated with the
- 10 developmental disorder.

The citations of any reference herein should not be construed as an admission that such reference is available as "Prior Art" to the instant application.

#### SUMMARY OF THE INVENTION

- The present invention provides methods of diagnosing, preventing and/or treating
- 15 specific developmental disorders. Towards this end the present invention provides methods of identifying an individual as being genetically or environmentally susceptible for developing or having a developmental disorder or for having offspring that develop the developmental disorder. Such a developmental disorder can be schizophrenia, spina bifida cystica, Tourette's syndrome, bipolar illness, autism,
- 20 conduct disorders, attention deficit disorder, obsessive compulsive disorder, chronic multiple tic syndrome and learning disorders such as dyslexia. In addition, any of the methods provided herein for identifying an individual as being genetically and/or environmentally susceptible for having or developing a developmental disorder or for having offspring that develop the developmental disorder can also be used in
- 25 diagnosing the individual, preferably in conjunction with a clinical diagnosis.

Therefore, the present invention provides methods of identifying an individual as being genetically susceptible for having or developing a developmental disorder.

The present invention further provides methods of identifying an individual as being genetically susceptible for having offspring that are susceptible for developing a developmental disorder. Methods of identifying an individual as being susceptible due to environmental factors for having or developing a developmental disorder are also provided. In addition, the present invention provides methods of identifying an individual as being susceptible of having offspring that are susceptible for developing a developmental disorder. The present invention also provides methods of identifying an individual as being susceptible for having or developing a developmental disorder due to both environmental and genetic factors. The present invention further provides methods of identifying an individual as being susceptible for having offspring that are susceptible for developing a developmental disorder

The present invention therefore provides methods for compiling genetic reference datasets, environmental reference datasets and/or genetic and environmental reference datasets for use in determining a predicted probability for an individual of having a susceptibility for having or developing a developmental disorder, or for having offspring that develop a developmental disorder.

In one aspect of the invention, the present invention provides methods that comprise generating a genetic reference dataset for use in determining the predicted probability of an individual for having a susceptibility for having or developing a developmental disorder due to genetic factors, or for having offspring that develop a developmental disorder due to genetic factors.

One such embodiment comprises collecting a biological sample from a human subject. The human subject can be a diagnostic proband, a blood relative of the diagnostic proband, an affected proband, a blood relative of the affected proband, a control proband, and/or a blood relative of the control proband. The biological sample contains nucleic acids and/or proteins from the human subject. The nucleic acids and/or proteins from the biological sample are then analyzed resulting in a partial or full genotype for the alleles of the genes involved in folate, pyridoxine, and/or cobalamin metabolism. The partial or full genotype then forms a dataset of genetic explanatory variables for the human subject. The dataset of genetic

explanatory variables is then compiled from multiple human subjects into a genetic reference dataset. Such compilations are exemplified in the Detailed Description and Examples below.

In another aspect, the present invention provides a method that comprises generating  
5 a genetic and environmental reference dataset for use in determining the predicted probability of an individual for having a susceptibility for having or developing a developmental disorder due to genetic factors and environmental factors, or for having offspring that develop a developmental disorder due to genetic factors and environmental factors. One such embodiment comprises obtaining dietary and  
10 epidemiological information for environmental explanatory variables for the human subjects and combining the environmental explanatory variables with a genetic reference dataset for the human subjects as described above.

In another aspect, the present invention provides an environmental reference dataset for use in the determination of the predicted probability for an individual for having a  
15 susceptibility for having or developing a developmental disorder due to environmental factors, or for having offspring that develop a developmental disorder due to environmental factors. One such embodiment comprises obtaining dietary and epidemiological information for environmental explanatory variables for a human subject. The human subject can be a diagnostic proband, a blood relative of the  
20 diagnostic proband, an affected proband, a blood relative of the affected proband, a control proband, or a blood relative of the control proband. The dataset of environmental explanatory variables is then compiled from multiple human subjects into an environmental reference dataset for the human subjects.

The developmental disorder forming the basis of the reference datasets of the present  
25 invention can be schizophrenia, or spina bifida cystica, or Tourette's syndrome, or dyslexia, or conduct disorder, or attention-deficit hyperactivity disorder, or bipolar illness, or autism, or chronic multiple tic syndrome or obsessive-compulsive disorder, or like disorders. A blood relative is preferably the mother of the individual, a sibling, the father or a grandparent of the individual. When the reference dataset is  
30 for use in the determination of the predicted probability for an individual of having a

susceptibility for having offspring that develop a developmental disorder, the individual is preferably a pregnant woman. The reference datasets of the present invention are themselves part of the present invention.

The present invention further provides methods of estimating the genetic  
5 susceptibility of an individual to have or to develop a developmental disorder, or to have offspring that develop a developmental disorder. In one such embodiment the method comprises collecting a biological sample from a participant (or participants) who is either the individual or a blood relative of the individual. The biological sample contains nucleic acids and/or proteins of the participant. The analysis of the  
10 nucleic acids and/or proteins from the biological sample yield a partial or full genotype for the alleles of the genes involved in folate, pyridoxine, and/or cobalamin metabolism. The partial or full genotype forms a dataset of genetic explanatory variables for the participants. The dataset of genetic explanatory variables obtained are added to a genetic reference dataset forming a combined genetic dataset. A model  
15 is then formulated comprising the genetic explanatory variables obtained from the participants and the combined genetic dataset is analyzed. A predicted probability for the individual for having and/or developing a developmental disorder and/or having offspring that develop a developmental disorder is then determined. The genetic susceptibility of an individual to have or to develop a developmental disorder and/or  
20 have offspring that develop a developmental disorder is estimated. In a preferred embodiment, analyzing the combined genetic dataset is performed by binary linear regression. In a more preferred embodiment, the binary linear regression is performed with the SAS system. In another preferred embodiment, the model is modified by adding or subtracting one or more genetic explanatory variables and the  
25 combined genetic dataset is re-analyzed, preferably by binary logistic regression. In this case a model is chosen that best fits the data. This can be accomplished by testing the model for goodness of fit.

The present invention also provides methods of estimating the genetic and  
30 environmental susceptibility of an individual to have or to develop a developmental disorder and/or for having offspring that develop a developmental disorder. One such embodiment comprises collecting a biological sample from one or more participants.



Again, the participant is either the individual or a blood relative of the individual. The biological sample contains nucleic acids and/or proteins of the participant. The nucleic acids and/or proteins from the biological sample are analyzed resulting in a partial or full genotype for the alleles of the genes involved in folate, pyridoxine, and/or cobalamin metabolism. The partial or full genotype forms a dataset of genetic explanatory variables for the participant. Dietary and epidemiological information for environmental explanatory variables for the participant(s) are also obtained which are used to form a dataset of environmental explanatory variables for the participant(s). The datasets of genetic explanatory variables and the dataset of environmental explanatory variables are added to a genetic and environmental reference dataset forming a combined genetic and environmental dataset. A model is formulated comprising the genetic and environmental explanatory variables obtained from the participant(s). The combined genetic and environmental dataset is then analyzed and a predicted probability for the individual for having and/or developing a developmental disorder and/or for having offspring that develop a developmental disorder is determined. The genetic and environmental susceptibility of an individual to have or to develop a developmental disorder and/or have offspring that develop a developmental disorder is estimated. In a preferred embodiment, analyzing the combined genetic and environmental dataset is performed by binary linear regression. In a more preferred embodiment the binary linear regression is performed with the SAS system. In another preferred embodiment the model is modified by adding or subtracting one or more genetic and/or environmental explanatory variables and the combined genetic and environmental dataset is re-analyzed preferably, by binary logistic regression. In this case a model is chosen that best fits the data. This can be accomplished by testing the model for goodness of fit.

For any of these methods, the developmental disorder can be schizophrenia, spina bifida cystica, Tourette's syndrome, bipolar illness, autism, conduct disorder, attention deficit hyperactivity disorder, obsessive compulsive disorder, chronic multiple tic syndrome and learning disorders such as dyslexia.

In a particular embodiment, the individual is suspected of being genetically susceptible of having or for developing the developmental disorder and/or of being

genetically susceptible of having offspring that develop the developmental disorder.

In a preferred embodiment of this type, the individual is suspected of being genetically susceptible for having or for developing the developmental disorder and/or of being genetically susceptible of having offspring that develop the

5 developmental disorder because a blood relative has the developmental disorder. In one such embodiment the blood relative is a parent, a sibling, or a grandparent. In a preferred embodiment the blood relative is the mother of the individual. In a particular embodiment in which the individual is suspected of being genetically susceptible of having offspring that develop the developmental disorder, the  
10 individual is a pregnant woman. In another such embodiment the individual is the mate of the pregnant woman. In a particular embodiment exemplified below, the developmental disorder is schizophrenia.

Since the availability of the data regarding the genetic and environmental explanatory factors can vary in separate determinations, variations in the explanatory factors used

15 is clearly envisioned by the present invention.

The present invention further provides methods of lowering the risk of a pregnant woman to have a child that will develop a developmental disorder. One such embodiment comprises administering methylfolate, cobalamin or pyridoxine to the pregnant woman and/or fetus, which lowers the risk of the pregnant woman to give  
20 birth to a child with a developmental disorder. In a particular embodiment of this type, the pregnant woman had been previously determined to be susceptible of having offspring that develop a developmental disorder by a method disclosed herein. The present invention further provides a method of determining if any treatment is advisable for a pregnant woman that is genetically susceptible to having offspring that  
25 develop a developmental disorder which comprises determining the concentration of a risk factor from a tissue sample or body fluid from the pregnant woman. When the concentration of the risk factor is statistically above or below an accepted normal range, treatment is advisable.

The present invention further provides methods of determining if any treatment is

30 advisable for a pregnant woman who has been determined to be susceptible to having

offspring that develop a developmental disorder. One such embodiment comprises determining the concentration of a risk factor from a tissue sample or body fluid from the pregnant woman. When the concentration of the risk factor is statistically above or below an accepted normal range, treatment is advisable. In a particular

5   embodiment of this type, the pregnant woman had been previously determined to be susceptible of having offspring that develop a developmental disorder by a method disclosed herein.

Methods of monitoring the effect of the administration of methylfolate, cobalamin or pyridoxine to the pregnant woman who has been determined to be susceptible to

10   having offspring that develop a developmental disorder are also included in the present invention. One such embodiment comprises determining the concentration of a risk factor from a tissue sample or body fluid from the pregnant woman. When the concentration of the risk factor is statistically within an accepted normal range, the treatment is deemed effective. In a particular embodiment of this type, the pregnant

15   woman had been previously determined to be susceptible of having offspring that develop a developmental disorder by a method disclosed herein. The risk factor can be any substance and/or metabolite linked to folate and/or cobalamin and/or pyridoxine metabolism. In one embodiment, the risk factor is homocysteine. In yet another embodiment, the risk factor is folate. In still another embodiment, the risk

20   factor is cobalamin.

The present invention also provides a method of treating an asymptomatic individual determined to be susceptible for developing a developmental disorder comprising administering methylfolate, cobalamin and/or pyridoxine. In a particular embodiment of this type, the asymptomatic individual had been previously determined to be

25   susceptible of developing a developmental disorder by a method disclosed herein.

The DNA samples from the persons tested may be obtained from any source including blood, a tissue sample, amniotic fluid, a chorionic *villus* sampling, cerebrospinal fluid, and urine.

The present invention includes but is not limited to the examples of proteins encoded by genes involved in folate, cobalamin and pyridoxine metabolism compiled in Tables 2-7 in the Detailed Description of the Invention, below. For certain genes nucleic acid and/or amino acid sequence data is also provided. These genes and  
5 related sequence data are solely intended as examples of genes that are suitable to be used in the methods described herein. Such sequence data can be used for carrying out the genetic analysis of the present invention. However, the present invention is not intended to be limited in any way to such lists of proteins or the related sequence data.

- 10 It is further contemplated by the present invention to provide methods that include the testing for a genetic mutations in individual genes involved in folate and cobalamin metabolism and/or in individual combinations of such genes (*e.g.*, methylenetetrahydrofolate reductase gene and methionine synthase). In addition, all possible combinatorials, and permutations of such genes including a constellation  
15 comprising all of the genes involved in folate, pyridoxine, and cobalamin metabolism is envisioned by the present invention. Alternatively, a constellation of genes in which any one or more genes can be excluded from those tested is also contemplated by the present invention (for example, a given constellation of genes can include genes encoding all of the proteins in Table 2 and 4 except the folate receptor 2-like  
20 protein). Thus all of such possible constellations are envisioned by, and are therefore part of the present invention.

- The present invention also provides DNA polymorphisms that can be used as genetic explanatory factors in the present invention. One such embodiment is a nucleic acid encoding a genetic variant of human dihydrofolate reductase comprising a nucleotide  
25 sequence having a 19 base-pair deletion spanning nucleotides 540 to 558 of the nucleotide sequence of SEQ ID NO:41. In a preferred embodiment the nucleic acid has the nucleotide sequence of SEQ ID NO:42.

- The present invention also includes primers. One such embodiment is a PCR primer that can be used to distinguish SEQ ID NO:42 from SEQ ID NO:41. Another  
30 embodiment is a PCR primer that can be used to distinguish SEQ ID NO:42 from

SEQ ID NO:45. These primers are useful for identifying the 19 base-pair deletion spanning nucleotides 540 to 558 of the nucleotide sequence of SEQ ID NO:41 (*see* Example 2). In a particular embodiment, the PCR primer comprises 8 to 100 and preferably 10 to 50 consecutive nucleotides from the nucleotide sequence of SEQ ID

5 NO:41. In another embodiment the PCR primer comprises 8 to 100 and preferably 10 to 50 consecutive nucleotides from the nucleotide sequence of the complementary strand of SEQ ID NO:41. In still another embodiment the PCR primer comprises 8 to 100 and preferably 10 to 50 consecutive nucleotides from the nucleotide sequence of SEQ ID NO:42. In yet another embodiment the PCR primer comprises 8 to 100 and

10 preferably 10 to 50 consecutive nucleotides from the nucleotide sequence of the complementary strand of SEQ ID NO:42. In still another embodiment the PCR primer comprises 8 to 100 and preferably 10 to 50 consecutive nucleotides from the nucleotide sequence of SEQ ID NO:45. In yet another embodiment the PCR primer comprises 8 to 100 and preferably 10 to 50 consecutive nucleotides from the

15 nucleotide sequence of the complementary strand of SEQ ID NO:45.

In a particular embodiment the PCR primer comprises 8 to 100 and preferably 10 to 50 consecutive nucleotides from nucleotides 350 to 530 of SEQ ID NO:41. In a preferred embodiment of this type, the PCR primer has the nucleotide sequence of CTAAACTGCATCGTCGCTGTG (SEQ ID NO:38). In another particular

20 embodiment the PCR primer comprises 8 to 100 and preferably 10 to 50 consecutive nucleotides from the complementary strand of nucleotides 550 to 850 of SEQ ID NO:41. In preferred embodiment of this type, the PCR primer comprises 8 to 100 and preferably 10 to 50 consecutive nucleotides from the complementary strand of nucleotides 570 to 690 of SEQ ID NO:41. In a particular embodiment, the PCR

25 primer has the nucleotide sequence of AAAAGGGGAATCCAGTCGG (SEQ ID NO:39).

The present invention also provides a nucleic acid that hybridizes under standard hybridization conditions to the nucleotide sequence ACCTGGGCGGGACGCGCCA (SEQ ID NO:40). In another embodiment the nucleic acid hybridizes under standard

30 hybridization conditions to the nucleotide sequence complementary to SEQ ID NO:40. In yet another embodiment the nucleic acid hybridizes under standard

hybridization conditions to the nucleotide sequence ACCTGGGCGGGACGCGCC (SEQ ID NO:46). In yet another embodiment the nucleic acid hybridizes under standard hybridization conditions to the nucleotide sequence complementary to SEQ ID NO:46. In a particular embodiment the nucleic acid consists of 9 to 96

- 5 nucleotides. In another embodiment the nucleic acid consists of 12 to 48 nucleotides. In still another embodiment the nucleic acid consists of 15 to 36 nucleotides. In a preferred embodiment the nucleic acid consists of 17 to 20 nucleotides.

The present invention also provides a nucleic acid that hybridizes to the nucleotide sequence of SEQ ID NO:41, but not to the nucleotide sequence of SEQ ID NO:42

- 10 when the hybridization is performed under identical conditions. In a particular embodiment the nucleic acid comprises the nucleotide sequence of CCCACGGTCGGGGTACCTGGGCGGGACGCGCCAGGCCGACTCCCGGGCGA (SEQ ID NO:29). The present invention further provides a nucleic acid that hybridizes to the nucleotide sequence of SEQ ID NO:42, but not to the nucleotide
- 15 sequence of SEQ ID NO:41 when the hybridization is performed under identical conditions. In a particular embodiment the nucleic acid comprises the nucleotide sequence of CCCACGGTCGGGGTGGCCGACTCCCGGGCGA (SEQ ID NO:37).

In a related embodiment the present invention provides an isolated nucleic acid that hybridizes to the complementary strand of the nucleotide sequence of SEQ ID NO:42,

- 20 but not to the complementary strand of the nucleotide sequence of SEQ ID NO:41 when the hybridization is performed under identical conditions. In still another embodiment the nucleic acid hybridizes to the nucleotide sequence of SEQ ID NO:41, but not to the nucleotide sequence of SEQ ID NO:42 when the hybridization is performed under identical conditions. In still another embodiment the nucleic acid
- 25 hybridizes to the complementary strand of the nucleotide sequence of SEQ ID NO:41, but not to the complementary strand of the nucleotide sequence of SEQ ID NO:42 when the hybridization is performed under identical conditions.

The present invention also provides a nucleic acid that hybridizes to the nucleotide sequence of SEQ ID NO:42, but not to the nucleotide sequence of SEQ ID NO:45

- 30 when the hybridization is performed under identical conditions. In a related

embodiment the present invention provides an isolated nucleic acid that hybridizes to the complementary strand of the nucleotide sequence of SEQ ID NO:42, but not to the complementary strand of the nucleotide sequence of SEQ ID NO:45, when the hybridization is performed under identical conditions. In still another embodiment the nucleic acid hybridizes to the nucleotide sequence of SEQ ID NO:45, but not to the nucleotide sequence of SEQ ID NO:42 when the hybridization is performed under identical conditions. In still another embodiment the nucleic acid hybridizes to the complementary strand of the nucleotide sequence of SEQ ID NO:45, but not to the complementary strand of the nucleotide sequence of SEQ ID NO:42 when the hybridization is performed under identical conditions.

The present invention also provides for the use of the nucleic acids of the present invention (as well as other nucleic acids which can be used to identify DNA polymorphisms in the alleles of the genes involved in folate, pyridoxine, and/or cobalamin metabolism) in the methods of the present invention for identifying, diagnosing, preventing and/or treating individuals.

In methods of estimating the susceptibility due to genetic or genetic and environmental factors for an individual to have or to develop a developmental disorder or to have offspring that develop a developmental disorder, and for the corresponding methods of generating genetic, or genetic and environmental reference datasets, the present invention provides a step of analyzing nucleic acids and/or proteins from biological samples. In one particular embodiment, the assaying for the presence of the genetic variant of human dihydrofolate reductase having a nucleotide sequence with a 19 base-pair deletion spanning nucleotides 540 to 558 of the nucleotide sequence of SEQ ID NO:41 is included as part of this analysis. This genetic variant of human dihydrofolate reductase becomes a genetic explanatory variable.

Determining if the biological sample contains the genetic variant of human dihydrofolate reductase having a nucleotide sequence with a 19 base-pair deletion spanning nucleotides 540 to 558 of the nucleotide sequence of SEQ ID NO:41 can be

performed by any appropriate method including PCR, special PCR, RT PCR, RFLP analysis, SSCP, and FISH.

In addition, all of the nucleic acids of the present invention including cDNA or genomic DNA can be placed into expression vectors operably associated with an  
5 expression control sequence. Alternatively, when the nucleic acid is part of an expression control sequence, the nucleic acid and/or the expression control sequence can be placed into an expression vector to control the expression of a coding sequence, such as a reporter gene. Such expression vectors can then be placed into either eukaryotic or prokaryotic host cells and expressed. The host cells comprising  
10 the expression vectors are also part of the present invention. In addition, when the nucleic acid includes a coding sequence or a part of a coding sequence, the present invention includes methods of purifying the gene products from the coding sequence or part thereof, and the purified gene products themselves.

Accordingly, it is a principal object of the present invention to provide a method for  
15 identifying an individual that is genetically inclined to develop a developmental disorder or disease.

It is a further object of the present invention to provide a method for identifying an individual that is genetically inclined to develop schizophrenia.

It is a further object of the present invention to provide a method for identifying an  
20 individual that is genetically inclined to have offspring having a developmental disorder.

It is a further object of the present invention to provide a method of diagnosing schizophrenia.

It is a further object of the present invention to provide a method of treating  
25 developmental disorders such as schizophrenia.



It is a further object of the present invention to provide a method for monitoring the treatment of the developmental disorder.

It is a further object of the present invention to provide a method for ameliorating the effect of a defect in folate, pyridoxine or cobalamin metabolism on a fetus due to the  
5 genetic or environmental status of a pregnant woman.

It is a further object of the present invention to provide a method of treating a patient who is genetically inclined to develop a developmental disorder such as schizophrenia.

It is a further object of the present invention to provide a method of overcoming a  
10 nutritional lack of folate, cobalamin or pyridoxine of a pregnant woman to prevent the development of the corresponding fetus developing a developmental disorder.

Other objects and advantages will become apparent to those skilled in the art from a review of the ensuing description.

These and other aspects of the present invention will be better appreciated by  
15 reference to the following drawings and Detailed Description.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows primers for PCR amplification of the dihydrofolate reductase (DHFR) deletion polymorphism region.

Figure 2 shows the genotypes of the DHFR 19 basepair deletion by non-denaturing  
20 polyacrylamide gel electrophoresis. Lanes 1 and 2 show genotypes 1,1. Lanes 3 and 4 show genotypes 1, 2. Lanes 5 and 6 show genotypes 2,2. Lane 7 shows phiX174 RF DNA/HaeIII size markers from BRL Life Technologies.

Figure 3 shows the sequences of PCR amplification products in the Region of the DHFR polymorphism region. \* is explained in Text, *see* Example 2.

Figure 4A is a nucleotide sequence of the wild type human DHFR, (SEQ ID NO:41) from Yang *et al.*, *J. Mol. Biol.* **176**:169-187 (1984), GeneBank accession no: X00855. The start codon is in bold. Figure 4B is the same nucleotide sequence as that of Figure 4A except the deletion of the 19 nucleotides due to the DHFR deletion polymorphism, (SEQ ID NO:42).

### DETAILED DESCRIPTION OF THE INVENTION

The present invention in its broadest embodiment provides a method of diagnosing, preventing and/or treating specific physiological/developmental disorders. Such physiological/developmental disorders include schizophrenia, spina bifida cystica, Tourette's syndrome, bipolar illness, autism, conduct disorders, attention deficit disorder, obsessive compulsive disorder, chronic multiple tic syndrome and learning disorders such as dyslexia.

A particular aspect of the present invention provides methodology for diagnosing, preventing and/or treating a developmental disorder such as schizophrenia. Such methodology is premised on the correlation between abnormalities in folate, cobalamin, and/or pyridoxine metabolism in an individual and/or the mother of an individual and the occurrence of the developmental disorder, *e.g.*, schizophrenia in the individual. Further, the present invention provides a framework (*i.e.*, the generatogen model, and the DNA Polymorphism-Diet-Cofactor-Development both of which are described in detail below) which fully explain the rationale for the correlation, though the ultimate usefulness of the methods of the present invention are independent of any particular model.

Within this context, the DNA Polymorphism-Diet-Cofactor-Development model maintains that a developmental disorder such as schizophrenia results in part from developmental brain damage sustained *in utero* due to maternal dietary deficiency of folate, pyridoxine or cobalamin potentiated by the aggregate effect of minor defects of folate, pyridoxine or cobalamin genes. The maternal damage to the fetus can result in part from insufficiency of the folate, pyridoxine and cobalamin themselves and/or from resulting effects such as immune deficiency and maternal teratogens, *e.g.*

hyperhomocysteinemia. Genes from either parent acting in the fetus may modify these damaging effects as exemplified in the gene-teratogen model, below.

As described herein the present invention can be practiced on a case by case basis, or alternatively, it can be used in the screening of the general population, or within any particular subgroup, such as newborns (as is presently performed in the diagnosis and treatment of hyperphenylalaninemia).

Therefore, if appearing herein, the following terms shall have the definitions set out below.

As used herein a "gene involved in folate, pyridoxine, or cobalamin metabolism" is a gene that encodes a peptide or protein that plays a role in a pathway involved in either folate, pyridoxine, or cobalamin metabolism. An incomplete listing of examples of such proteins is given in Tables 2-7.

As used herein the term "individual" includes a fetus, infant, child, adolescent, and adult. Therefore, as used herein, an individual originates at conception.

As used herein an individual with a susceptibility for "having offspring that develop a developmental disorder" is meant to be indicative of the susceptibility of the offspring of that individual to develop the developmental disorder and is not in any way meant to be indicative of the susceptibility of the individual to have offspring.

The term "proband" as used herein is operationally defined by Table 8 along with the accompanying explanatory information (*see*, Example 1). For most purposes, the proband can be considered the central figure in the familial analysis, the remaining individuals in the family being designated as "blood relatives". There are three types of probands: (1) an "affected proband" *i.e.*, an individual that is believed to have a developmental disorder ; (2) a "control proband" an individual that is believed not to have a developmental disorder; and (3) a "diagnostic proband" *i.e.*, an individual being diagnosed.

As used herein a "blood relative" of an individual is a relative that is related to the individual in a genetic sense. Blood relatives can include mothers, fathers, children, uncles, aunts, brothers, sisters, and grandparents. Preferably a blood relative is a parent, a sibling, or a grandparent. Adopted relatives, step-parents, relatives through  
 5 marriage and the like are not blood relatives. Therefore, as used herein, the terms "mother", "father", "sibling", "grandparent", "grandfather" and "grandmother" are indicative of blood relationships.

As used herein a "mate of an individual" is a person whose genetic material is combined with that of the individual for the conception of the offspring in question.

10 As used herein the term "schizophrenia" describes a disorder that is at least partially due to one or more genetic mutations or polymorphisms in one or more genes involved in folate, cobalamin or pyridoxine metabolism in an individual that is schizophrenic and/or to one or more genetic mutations or polymorphisms in one or more genes involved in folate, cobalamin or pyridoxine metabolism in the mother of  
 15 that individual.

As used herein an individual is "schizophrenic" when the individual displays symptoms that would be accepted by an experienced psychiatrist to merit a diagnosis of schizophrenia. Such a diagnosis is based, at least in part, on the currently evolving guidelines for the diagnosis of schizophrenia which are listed in the successive  
 20 editions of Diagnostic and Statistical Manual for Mental Disorders, put out by the American Psychiatric Association. The current edition is the DSM, Fourth Edition (1994).

As used herein the terms "spina bifida cystica", "Tourette's syndrome", "bipolar illness", "autism", "conduct disorder", "attention deficit disorder", "obsessive  
 25 compulsive disorder", "chronic multiple tic syndrome" and "learning disorders" such as "dyslexia" describe disorders which display symptoms that would be accepted by an experienced psychiatrist to merit a diagnosis of that disorder. Such a diagnosis is based, at least in part, on the currently evolving guidelines which are listed in the successive editions of Diagnostic and Statistical Manual for Mental Disorders, put out

by the American Psychiatric Association. The current edition is the DSM, Fourth Edition (1994).

As used herein the term "teratogenic locus" indicates one or more alleles that act in a pregnant woman to cause an intrauterine teratogenic effect on the fetus.

- 5 As used herein the terms "specificity locus" or "modifying locus" are used interchangeably and are indicative of one or more alleles that can act during pregnancy and/or after birth to prevent, modify, and/or ameliorate the teratogenic effect of the teratogenic locus.

- 10 As used herein a "constellation of genetic mutations" is the set of genetic risk factor mutations that is present in a proband and relatives of the proband. One example of a constellation of genetic mutations is shown in a line of Table 8, below.

- 15 As used herein a "risk factor" is a teratogen or substance (including a defective gene) that can lead to a teratogenic effect that is present or suspected of being present in a tissue sample or body fluid of an individual's mother during the individual's gestation and/or present or suspected of being present in a tissue sample or body fluid of the individual.

- 20 As used herein a "genetic risk factor" is used interchangeably with the term "genetic explanatory variable" and is a genetic mutation and/or polymorphism that causes or potentially can cause the formation of and/or lead to the development of a risk factor in an individual or the individual's mother during gestation.

As used herein an "environmental risk factor" is used interchangeably with the term "environmental explanatory variable" and is an environmental factor that causes or potentially can cause the formation of and/or lead to the development of a risk factor in an individual or the individual's mother during gestation.

As used herein an “explanatory variable” is either an “environmental explanatory variable” or a “genetic explanatory variable” or the variable defined by their interaction or any combination of the above.

Enzymes whose deficiency may raise plasma homocysteine include

- 5 methylenetetrahydrofolate reductase (MTHFR), methionine synthase, and folate receptors/transport proteins/binding proteins (as well as all of the proteins listed in Tables 2-7 below).

The current (developmental) model for schizophrenia is that genetic and environmental factors cause brain damage in a fetus that later develops schizophrenia.

- 10 However, the genetic and environmental factors have not been identified. Also, extensive linkage and association studies have failed to identify genes determining schizophrenia. The reasons usually given for this difficulty include: (i) locus heterogeneity, *i.e.*, more than one gene locus is involved, perhaps many gene loci each with a small effect; (ii) the mode of inheritance of schizophrenia is unknown;
- 15 and (iii) an additional possible factor is that the frequency of the disease alleles may be high, thus greatly reducing the power of linkage studies.

The DNA Polymorphism-Diet-Cofactor-Development model explains all of these difficulties and at the same time proposes a unified metabolic abnormality. The unified metabolic abnormality is: (a) ENVIRONMENTAL, *i.e.*, due to a

- 20 folate/cobalamin/pyridoxine deficiency caused by either decreased ingestion or increased requirement during pregnancy; (b) GENETIC, *i.e.*, due to a folate/cobalamin/pyridoxine genetic defect caused by the aggregate effect of multiple mutations of folate/cobalamin/pyridoxine genes each individually having a small effect; and (c) the interaction of the folate/cobalamin/pyridoxine environmental and
- 25 genetic factors (indicated above) to cause other harmful effects such as maternal teratogens and immune deficiency during gestational development. Different gene loci and different combinations of gene loci will be involved in different patients and different families. The problem of locus heterogeneity is addressed by the hypothesis that the folate/cobalamin/pyridoxine genetic defect is the aggregate effect of multiple

mutations of folate/cobalamin/pyridoxine genes each of which have a relatively small effect.

- The problem of mode of inheritance is addressed by the gene-teratogen model. The gene-teratogen model describes the special features of genes acting *in utero*; both
- 5 teratogenic and modifying of specificity loci may be involved. If these effects are not taken into account, the assignment of affection status in schizophrenia pedigrees is inaccurate. Assignment of affection status is a key element in defining the mode of inheritance for all kinds of linkage mapping. Failure to assign the correct mode of inheritance is another factor that has made the linkage studies very difficult.
- 10 Finally, the DNA Polymorphism-Diet-Cofactor-Development model proposes that some of the genetic factors for schizophrenia are common in the population. In fact, subclinical deficiency of folate, pyridoxine, and cobalamin is common in the population and common among pregnant women as well. Pregnancy further increases the requirement for folate, pyridoxine, and cobalamin. Common genetic
- 15 polymorphisms of folate and cobalamin genes are also known, some of them functional. Common genetic risk factors tend to be functional polymorphisms and/or mutant alleles that individually have small effects. Otherwise, they would be largely eliminated from the population by natural selection and would not be common. High disease allele frequency is yet another factor that greatly diminishes the power of a
- 20 linkage study.

- Besides explaining the difficulties with current linkage studies, the DNA Polymorphism-Diet-Cofactor-Development model explains all of the unusual biological and epidemiological features of schizophrenia: *e.g.* the decreased amount of gray matter in brain areas, the unusual birth-month effect, the geographical
- 25 differences in incidence, the socioeconomic predilection, the association with obstetrical abnormalities (low birth weight and prematurity), and the association with famine and viral epidemics. Consistently, genetic linkage and cytogenetic studies in schizophrenia have implicated various chromosome regions, some of them containing folate, pyridoxine, and cobalamin genes including dihydrofolate reductase,
- 30 thymidylate synthase, and transcobalamin II. The DNA

Polymorphism-Diet-Cofactor-Development model predicts that folate, pyridoxine, or cobalamin gene mutations have a high frequency in schizophrenia patients or family members. Furthermore, mothers of schizophrenics are predicted to be particularly susceptible to producing one or more teratogens during pregnancy.

- 5 The present invention therefore provides methods for: (a) Diagnostic testing of schizophrenia by identifying a folate, pyridoxine, or cobalamin gene mutation or constellation of mutations in the patient, mother, and father. (b) Prevention of schizophrenia by diagnostic testing in families already affected by schizophrenia or by diagnostic population screening for folate mutations and identifying couples at risk
- 10 for producing schizophrenic offspring. These pregnancies can be further monitored for risk factors, *e.g.* dietary folate/pyridoxine/cobalamin, plasma folate/pyridoxine/cobalamin, or red blood cell folate; plasma homocysteine or other teratogens. (c) Therapy for schizophrenia, *e.g.*, treating the pregnant mother with folate, pyridoxine, cobalamin or other agents. The treatment can be monitored at
- 15 regular intervals to determine the effect of therapy. (d) Presymptomatic treatment of schizophrenia on young children found to be susceptible to schizophrenia by diagnostic testing for folate gene mutations and other risk factors can also be treated with methylfolate or related therapeutic modalities to forestall the appearance of schizophrenia symptoms in adolescence or adulthood.
- 20 Empirical studies with methylfolate treatment of schizophrenia have shown modest clinical improvement. The DNA Polymorphism-Diet-Cofactor-Development model gives a rationale for such therapy as well as for intensive testing of related therapeutic modalities. Genetic testing will need to be carried out in such patients to gauge their likelihood of responding to therapy. In addition, the DNA
- 25 Polymorphism-Diet-Cofactor-Development model gives direction and impetus toward uncovering the mechanism of fetal brain damage leading to schizophrenia.

Diagnostic testing for schizophrenia can involve testing not just the patient, but mother and father as well, for not just one factor but multiple genetic factors. For example, data for two gene loci (both folate-related genes) were used in Example 2.

- 30 In this case, there were only four explanatory variables for each comparison.



In addition, risk factors appearing only during pregnancy may play a role, *e.g.* dietary folate which can be further monitored during the pregnancy. In certain instances, genotype data can be used as the sole explanatory variables, particularly in the case when no environmental explanatory variables are known. In such a case, the

5 predicted probabilities will be only for the genetic component of the proband's risk of schizophrenia. In addition, schizophrenia mothers, fathers, and sibs do not necessarily have to come from the same families as the schizophrenia probands, as described in Example 2.

Of course certain genetic factors will turn out to be more common than others. This

10 may simplify testing somewhat. Also some genetic factors may operate chiefly in the mother, while others will operate chiefly in the schizophrenic patient. This may also simplify testing. There are some approaches to assessing risk factors during a past pregnancy, *e.g.* current dietary history as an indicator of past diet, methionine loading as an indicator of how susceptible a mother is to raising her plasma homocysteine,

15 assessment of other risk factors besides folate metabolism that may affect pregnancy outcome. Procedures including all of these variables are both envisioned and included in the present invention.

Thus the present invention provides a method of diagnosis of schizophrenia. In one aspect of the invention, diagnostic testing for genetic susceptibility to schizophrenia

20 determines the probability that the proband is affected with schizophrenia due to genetic factors. This is carried out by genetic testing of a patient suspected of having schizophrenia and/or whatever informative relatives are available, *e.g.* mother, father, sibs, or children. The genotypes of certain folate and/or cobalamin and/or pyridoxine gene mutations or constellation of mutations (folate and/or cobalamin and/or

25 pyridoxine gene mutations) are determined for each individual.

Since the abnormal phenotype of schizophrenia can be determined by both genetic and environmental factors and since other genetic factors besides folate/cobalamin/pyridoxine gene mutations may be involved, the presence of folate/cobalamin/pyridoxine gene mutations may be neither necessary nor sufficient

30 to cause schizophrenia. Thus, an unaffected individual may have the same genetic

risk factors as an affected individual but may lack sufficient environmental factors to cause the abnormal clinical disease. Also, an affected individual may lack folate/cobalamin/pyridoxine gene mutations but may have other related or non-related genetic risk factors that caused the schizophrenia.

- 5 Therefore folate/cobalamin/pyridoxine gene mutations are used as explanatory variables (genetic risk factors) to calculate the predicted probability that an individual has genetic susceptibility to schizophrenia due to these mutations. Genetic variation can be expected to account for approximately about half of the risk of developing schizophrenia since the concordance rate in identical twins has been estimated to be
- 10 about 50%. The other half of the risk results from environmental factors due to their different positions in the uterus and to differences in the blood supply. The use of environmental factors as additional explanatory variables enhances this probability calculation, although this environmental data is more difficult to gather. Together, using both genetic and environmental explanatory variables, the predicted probability
- 15 that an individual is schizophrenic may approach 1.0.

- One likely situation for the use of the present methodology is in the diagnosis of a patient that has developed a psychosis. In such a case, the clinician is likely to be interested in determining the probability that this individual has schizophrenia. The number of blood relatives (preferably first degree relatives) of the patient-to-be
- 20 diagnosed, both unaffected and affected, could then be determined. The number of these who would contribute a blood sample for analysis, for example, could then be ascertained. It is preferable that the patient-to-be-diagnosed also contributes a blood sample, however in certain situations, this may not be an option. The availability of dietary and epidemiological information for environmental explanatory variables,
  - 25 especially from the patient and the mother, can also ascertained. Of course all relevant legal and ethical rules should be followed regarding informed consent for the genetic testing.

- Biological samples such as tissue or fluid samples (*e.g.*, 7 ml of blood in an EDTA-containing vacutainer, *see* Example 2, below), and obtainable environmental
- 30 data from the patient and family members are then collected. DNA is extracted from

the sample and genotypes for alleles of folate and/or cobalamin and/or pyridoxine genes are determined. The methods for genotyping depend upon the specific genetic markers used as explanatory variables. The methods for allele determination for two genetic markers are discussed in the Examples below.

- 5 Data of the genetic and environmental explanatory variables for the patient-to-be-diagnosed (proband) and participating family members are added to a reference data set preferably consisting of well-defined schizophrenia probands and family members, and control probands, and family members for whom data is available for many explanatory variables. As an approximation the control probands themselves also can be used as the controls for each proband family member class as shown in Example 2, below. Thus, as an approximation the control probands can be used as controls for the affected probands; and/or separately for the mothers of affected probands; and/or separately for the fathers of affected probands, etc. Another example of a use of the control probands is in the evaluation and/or analysis of a particular diagnostic proband. In this case, the approximation is obtained by adding the diagnostic proband to the group of affected probands and control probands.

A model is then created consisting of the explanatory variables actually available from specific patient-to-be diagnosed and family members participating in the testing. This new combined data set (reference data set and data from patient-to-be-diagnosed with participating family members) is analyzed by binary logistic regression (e.g., using a statistical software package such as the SAS System embodied in Example 1 below, though other programs may be used) for the model chosen giving the predicted probability that a proband is affected with schizophrenia for all of the probands including the patient-to-be-diagnosed.

- 25 In a particular embodiment the model is modified and the goodness of fit for the patient-to-be-diagnosed is checked. The predicted probability that the patient-to-be-diagnosed has schizophrenia is compared with a classification table generated from the model used to determine the likelihood of false positives and false negatives.

The predicted probability that the patient-to-be-diagnosed is affected with schizophrenia, with the likelihood of false positive or false negative result, can then be forwarded to the clinician.

5 The methods for determining an individual's risk for developing schizophrenia taught by the present invention can be used in a variety of settings. For example, the present invention also provides a therapy for schizophrenia. Empirical studies with methylfolate treatment of schizophrenia have shown modest clinical improvement. The DNA Polymorphism-Diet-Cofactor-Development model provides a rationale for such therapy as well as for intensive testing of related therapeutic modalities, *e.g.* 10 other cofactors such as cobalamin or pyridoxine. In addition, the DNA Polymorphism-Diet-Cofactor-Development model gives direction and impetus toward uncovering the mechanism of fetal brain damage leading to schizophrenia. Of course such therapy also can be provided on a case by case basis in order to gauge the likelihood of the patient of responding to such therapy, with the methodology for 15 diagnosis of the present invention enabling the skilled practitioner to assess that likelihood.

In addition, the present invention provides a method of identifying individuals that are likely to be aided by presymptomatic treatment for schizophrenia. For example, young children found to have a high risk for susceptibility to schizophrenia by 20 diagnostic testing can be treated with methylfolate or related therapeutic modalities to forestall the appearance of schizophrenia symptoms in adolescence or adulthood. The present invention further provides methodology for diagnostic testing for specific families already affected by schizophrenia.

The present invention further provides methodology for population screening for 25 folate/cobalamin/pyridoxine mutations to help identify couples at risk for producing schizophrenic offspring. Subsequent or concurrent pregnancies can then be monitored for environmental risk factors, and treated with folate, cobalamin, pyridoxine or other agents and monitored at intervals for the effect of therapy. Such monitoring can include measuring levels of folate, cobalamin, pyridoxine or 30 homocysteine in a particular tissue and/or fluid sample, such as blood.

Since schizophrenia is a developmental disorder, it is likely that these same risk factors discussed here for schizophrenia could play a role in other developmental disorders including spina bifida cystica, Tourette's syndrome, learning disorders including dyslexia, conduct disorder, attention-deficit hyperactivity disorder, bipolar illness, autism, and obsessive-compulsive disorder. Interestingly, the mode of inheritance of these disorders, like that of schizophrenia, has been difficult to determine despite the fact that a genetic component to the etiology of each has been documented. Therefore, methodology analogous to that exemplified herein for schizophrenia can be readily adapted for diagnosing and/or treating other such developmental disorders.

#### Nucleic Acids

In accordance with the present invention there may be employed conventional molecular biology, microbiology, and recombinant DNA techniques within the skill of the art. Such techniques are explained fully in the literature. See, e.g., Sambrook, Fritsch & Maniatis, *Molecular Cloning: A Laboratory Manual*, Second Edition (1989) Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York (herein "Sambrook *et al.*, 1989"); *DNA Cloning: A Practical Approach*, Volumes I and II (D.N. Glover ed. 1985); *Oligonucleotide Synthesis* (M.J. Gait ed. 1984); *Nucleic Acid Hybridization* [B.D. Hames & S.J. Higgins eds. (1985)]; *Transcription And Translation* [B.D. Hames & S.J. Higgins, eds. (1984)]; *Animal Cell Culture* [R.I. Freshney, ed. (1986)]; *Immobilized Cells And Enzymes* [IRL Press, (1986)]; B. Perbal, *A Practical Guide To Molecular Cloning* (1984); F.M. Ausubel *et al.* (eds.), *Current Protocols in Molecular Biology*, John Wiley & Sons, Inc. (1994)].

A "nucleic acid molecule" refers to the phosphate ester polymeric form of ribonucleosides (adenosine, guanosine, uridine or cytidine; "RNA molecules") or deoxyribonucleosides (deoxyadenosine, deoxyguanosine, deoxythymidine, or deoxycytidine; "DNA molecules"), or any phosphoester analogs thereof, such as phosphorothioates and thioesters, in either single stranded form, or a double-stranded

helix. Double stranded DNA-DNA, DNA-RNA and RNA-RNA helices are possible. The term nucleic acid molecule, and in particular DNA or RNA molecule, refers only to the primary and secondary structure of the molecule, and does not limit it to any particular tertiary forms. Thus, this term includes double-stranded DNA found, *inter*  
 5 *alia*, in linear or circular DNA molecules including restriction fragments, plasmids, and chromosomes. In discussing the structure of particular double-stranded DNA molecules, sequences may be described herein according to the normal convention of giving only the sequence in the 5' to 3' direction along the nontranscribed strand of DNA (*i.e.*, the strand having a sequence homologous to the mRNA). A "recombinant  
 10 DNA molecule" is a DNA molecule that has undergone a molecular biological manipulation.

A nucleic acid molecule is "hybridizable" to another nucleic acid molecule, such as a cDNA, genomic DNA, or RNA, when a single stranded form of the nucleic acid molecule can anneal to the other nucleic acid molecule under the appropriate  
 15 conditions of temperature and solution ionic strength (*see* Sambrook *et al.*, *supra*). The conditions of temperature and ionic strength determine the "stringency" of the hybridization. High stringency hybridization conditions correspond to 50% formamide, 5x or 6x SSC. Hybridization requires that the two nucleic acids contain complementary sequences, although depending on the stringency of the hybridization,  
 20 mismatches between bases are possible. The appropriate stringency for hybridizing nucleic acids depends on the length of the nucleic acids, the GC percentage, and the degree of complementation, variables well known in the art. The greater the degree of similarity or homology between two nucleotide sequences, the greater the value of  $T_m$  for hybrids of nucleic acids having those sequences. The relative stability  
 25 (corresponding to higher  $T_m$ ) of nucleic acid hybridizations decreases in the following order: RNA:RNA, DNA:RNA, DNA:DNA. For hybrids of greater than 100 nucleotides in length, equations for calculating  $T_m$  have been derived (*see* Sambrook *et al.*, *supra*, 9.50-10.51). For hybridization with shorter nucleic acids, *i.e.*, oligonucleotides, the position of mismatches becomes more important, and the length  
 30 of the oligonucleotide determines its specificity (*see* Sambrook *et al.*, *supra*, 11.7-11.8). Preferably a minimum length for a hybridizable nucleic acid (*e.g.*, a nucleotide

probe or primer such as a PCR or RT-PCR primer) is at least about 12 nucleotides; preferably at least about 18 nucleotides; and more preferably the length is at least about 27 nucleotides; and most preferably at least about 36 nucleotides. Specific probes and primers that can be used to distinguish specific variants of the nucleic acids encoding the proteins involved in folate, pyridoxine, and/or cobalamin metabolism are also part of the present invention.

Such nucleotide probes and primers can be labeled or used to label complementary DNA (where appropriate) by any number of ways well known in the art including using a radioactive label, such as  $^3\text{H}$ ,  $^{14}\text{C}$ ,  $^{32}\text{P}$ , or  $^{35}\text{S}$ , a fluorescent label, a boron label [U.S. Patent No: 5,595,878, Issued January 21, 1997 and U.S. Patent No: 5,876,938, Issued March 2, 1999 which are incorporated by reference in their entireties], and enzymatic tags such as urease, alkaline phosphatase or peroxidase. In the case of enzyme tags, colorimetric indicator substrates are known which can be employed to provide a means visible to the human eye or spectrophotometrically, to identify specific hybridization with complementary nucleic acid-containing samples.

In a specific embodiment, the term "standard hybridization conditions" refers to a  $T_m$  of  $55^\circ\text{C}$ , and utilizes conditions as set forth above e.g., 5X SSC. In a preferred embodiment, the  $T_m$  is  $60^\circ\text{C}$ ; in a more preferred embodiment, the  $T_m$  is  $65^\circ\text{C}$ .

A DNA "coding sequence" is a double-stranded DNA sequence which is transcribed and translated into a polypeptide in a cell *in vitro* or *in vivo* when placed under the control of appropriate regulatory sequences. The boundaries of the coding sequence are determined by a start codon at the 5' (amino) terminus and a translation stop codon at the 3' (carboxyl) terminus. A coding sequence can include, but is not limited to, prokaryotic sequences, cDNA from eukaryotic mRNA, genomic DNA sequences from eukaryotic (e.g., mammalian) DNA, and even synthetic DNA sequences. If the coding sequence is intended for expression in a eukaryotic cell, a

polyadenylation signal and transcription termination sequence will usually be located 3' to the coding sequence.

"Transcriptional and translational control sequences" are DNA regulatory sequences, such as promoters, enhancers, terminators, and the like, that provide for the expression of a coding sequence in a host cell. In eukaryotic cells, polyadenylation signals are control sequences.

A "promoter sequence" is a DNA regulatory region capable of binding RNA polymerase and initiating transcription of a downstream (3' direction) coding sequence. For purposes of defining the present invention, the promoter sequence is bounded at its 3' terminus by the transcription initiation site and extends upstream (5' direction) to include the minimum number of bases or elements necessary to initiate transcription at levels detectable above background. Within the promoter sequence will be found a transcription initiation site (conveniently defined for example, by mapping with nuclease S1), as well as protein binding domains (consensus sequences) responsible for the binding of RNA polymerase.

A "signal sequence" is included at the beginning of the coding sequence of a protein to direct the protein to a particular site/compartiment in the cell such as the surface of a cell. This sequence encodes a signal peptide, N-terminal to the mature polypeptide, that directs the host cell to translocate the polypeptide. The term "translocation signal sequence" is used herein to refer to this sort of signal sequence. Translocation signal sequences can be found associated with a variety of proteins native to eukaryotes and prokaryotes, and are often functional in both types of organisms.

#### Identification of Genetic Mutations

A biological sample can be obtained from an individual and/or a blood relative of the individual, and from appropriate controls, using a sample from any body component



including tissue punches, body fluids, and hair, as long as the biological sample contains nucleic acids and/or proteins/peptides. Thus the DNA, mRNA, proteins or peptides of the biological sample can be used to identify mutations and/or variants in genes involved in folate, pyridoxine, or cobalamine metabolism. The present  
5 invention therefore includes methods of detecting and quantifying these nucleic acids and/or proteins/peptides that can be used to identify genetic risk factors.

In a particular embodiment the DNA is extractable. A particularly useful source of DNA is blood. For example, 2.5- 40 mls of blood can be collected in a vacutainer containing EDTA. The blood sample is placed on ice and then centrifuged to separate  
10 plasma, red cells, and buffy coat. The separated fractions are then frozen at -80°C.

The DNA can be isolated from the buffy coat by a number of procedures well known in the art including using a QIAmp column DNA extraction procedure or the QIAGEN Genomic-tip method. The isolated DNA can be digested with a series of restriction enzymes, for example, and then the digested products can be hybridized  
15 with one or more particular nucleic acid probes designed from a particular gene to identify the gene and preferably to test for particular genetic mutations.

Preferably the genomic DNA can be amplified by PCR using appropriate primer pairs such as the primer pairs for the MTHFR or DHFR genes which were used in the Example below. The PCR amplified product can be sequenced directly, or  
20 alternatively be digested with one or more appropriate restriction enzymes. The resulting digested products can be separated *e.g.*, by column chromatography, or preferably by polyacrylamide or agarose gel electrophoresis. The isolated digestion products can be compared *e.g.*, by previously determined restriction maps, and/or alternatively, the digestion products can be sequenced directly. Alternatively, as in  
25 the case of DHFR, genetic polymorphisms can be detected through the use of restriction enzymes.

Although a restriction map of a gene is sufficient for the employment of the methods disclosed herein, in preferred embodiments the nucleotide sequences of the genes used in the testing steps are known. To this end a large sampling of such sequences are provided in Tables 2-7. (These sequences may also be used in the design of restriction maps.) Thus, initially each gene whether used separately or used in a constellation of genes is characterized by the sequencing of the wild type gene, preferably including the coding regions, introns, control sequences, and other non-coding regions. In addition, mutations of such genes found in the general population can also be characterized. With the recent advances in the sequencing of the human genome the present invention contemplates that additional sequence information will become publicly available, particularly with regard to mutations in relevant introns, and control sequences etc. which are not available in cDNA libraries. Such sequence information is fully envisioned to be incorporated into the on-going compilations of relevant DNA sequence databases of the present invention, as well as for its parallel use in the general methodology described herein. Thus DNA or mRNA or cDNA made from the mRNA can be used to identify mutations and/or variants in genes involved in folate, pyridoxine, or cobalamine metabolism.

There are many methods currently known in the art to identify variant/mutant DNA, all of which may be used in the present invention (*see e.g.*, internet address <http://www.ich.bpmf.ac.uk/cmgs/mutdet.htm>). Such methods include but in no way are limited to direct sequencing, array sequencing, matrix-assisted laser desorption/ionization time-of-flight mass spectrometry (Malditof) [Fitzgerald *et al.*, *Ann. Rev. Biophy. Biomol. Struct.* **24**:117-140 (1995)], Polymerase Chain Reaction "PCR", reverse-transcriptase Polymerase Chain Reaction "RT-PCR", RNAase protection assays, Array quantitation *e.g.*, as commercially provided by Affymetrix, Ligase Chain Reaction or Ligase Amplification Reaction (LCR or LAR), Self-Sustained Synthetic Reaction (3SR/NASBA), Restriction Fragment Length Polymorphism (RFLP), Cycling Probe Reaction (CPR), Single-Strand Conformation Polymorphism (SSCP), heteroduplex analysis, hybridization mismatch using

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nucleases (*e.g.*, cleavase), Southern, Northern, Westerns, South Westerns, ASOs, Molecular beacons, footprinting, and Fluorescent *In Situ* Hybridization (FISH). Some of these methods are briefly described below.

- PCR is a method for increasing the concentration of a segment of target sequence in a mixture of genomic DNA without cloning or purification. PCR can be used to directly increase the concentration of the target to an easily detectable level. This process for amplifying the target sequence involves introducing a molar excess of two oligonucleotide primers which are complementary to their respective strands of the double-stranded target sequence to the DNA mixture containing the desired target sequence. The mixture is denatured and then allowed to hybridize. Following hybridization, the primers are extended with polymerase so as to form complementary strands. The steps of denaturation, hybridization, and polymerase extension can be repeated in order to obtain relatively high concentrations of a segment of the desired target sequence. The length of the segment of the desired target sequence is determined by the relative positions of the primers with respect to each other, and, therefore, this length is a controllable parameter. Because the desired segments of the target sequence become the dominant sequences (in terms of concentration) in the mixture, they are said to be "PCR-amplified." [Mullis (U.S. Patent No. 4,683,195) and Mullis et al. (U.S. Patent No. 4,683,202)]
- In Ligase Chain Reaction or Ligase Amplification Reaction (LCR or LAR) four oligonucleotides, two adjacent oligonucleotides which uniquely hybridize to one strand of target DNA, and a complementary set of adjacent oligonucleotides, which hybridize to the opposite strand are mixed and DNA ligase is added to the mixture. Provided that there is complete complementarity at the junction, ligase will covalently link each set of hybridized molecules. Importantly, in LCR, two probes are ligated together only when they base-pair with sequences in the target sample, without gaps or mismatches. Repeated cycles of denaturation, hybridization and ligation amplify a short segment of DNA. [Barany, Proc. Natl. Acad. Sci., **88**:189 (1991); Barany, PCR

Methods and Applic., 1:5 (1991); and Wu and Wallace, *Genomics* 4:560 (1989)]  
 LCR has also been used in combination with PCR to achieve enhanced detection of  
 single-base changes. Segev, PCT Public. No. W09001069 A1 (1990).

- Self-Sustained Synthetic Reaction (3SR/NASBA) is a transcription-based *in vitro*  
 5 amplification system [Guatelli *et al.*, *Proc. Natl. Acad. Sci.*, **87**:1874-1878, 7797  
 (1990); Kwok *et al.*, *Proc. Natl. Acad. Sci.*, **86**:1173-1177) that can exponentially  
 amplify RNA sequences at a uniform temperature. The amplified RNA can then be  
 utilized for mutation detection (Fahy *et al.*, *PCR Meth. Appl.*, 1:25-33 (1991). In this  
 10 method, an oligonucleotide primer is used to add a phage RNA polymerase promoter  
 to the 5' end of the sequence of interest. In a cocktail of enzymes and substrates that  
 includes a second primer, reverse transcriptase, RNase H, RNA polymerase and  
 ribo- and deoxyribonucleoside triphosphates, the target sequence undergoes repeated  
 rounds of transcription, cDNA synthesis and second-strand synthesis to amplify the  
 area of interest.
- 15 RFLP can be used to detect DNA polymorphisms arising from DNA sequence  
 variation. This method consists of digesting DNA with one or more restriction  
 endonucleases (*e.g.*, EcoRI) and analyzing the resulting fragments by means of  
 Southern blots [Southern, E., *Methods in Enzymology*, **69**:152 (1980)], as further  
 described by Botstein, *et al.*, *Am. J. Hum. Genet.*, **32**:314-331 (1980) and White, *et*  
 20 *al.*, *Sci. Am.*, **258**:40-48 (1988). Since a DNA polymorphism may create or delete a  
 restriction site, the length of the corresponding restriction fragment with any given  
 restriction enzyme could change. Once a difference in a restriction fragment length is  
 identified it can be used to readily distinguish a particular polymorphism from the  
 wild type DNA. Mutations that affect the recognition sequence of the endonuclease  
 25 will preclude enzymatic cleavage at that site, thereby altering the cleavage pattern of  
 that DNA. DNAs are compared by looking for differences in restriction fragment  
 lengths. A technique for detecting specific mutations in any segment of DNA is  
 described in Wallace, *et al.*, [*Nucl. Acids Res.*, **9**:879-894 (1981)]. It involves

hybridizing the DNA to be analyzed (target DNA) with a complementary, labeled oligonucleotide probe. Due to the thermal instability of DNA duplexes containing even a single base pair mismatch, differential melting temperature can be used to distinguish target DNAs that are perfectly complementary to the probe from target  
 5 DNAs that differ by as little as a single nucleotide. In a related technique, described in Landegren, *et al.*, *Science*, **41**:1077-1080 (1988), oligonucleotide probes are constructed in pairs such that their junction corresponds to the site on the DNA being analyzed for mutation. These oligonucleotides are then hybridized to the DNA being analyzed. Base pair mismatch between either oligonucleotide and the target DNA at  
 10 the junction location prevents the efficient joining of the two oligonucleotide probes by DNA ligase.

When a sufficient amount of a nucleic acid to be detected is available, there are advantages to detecting that sequence directly, instead of making more copies of that target, (*e.g.*, as in PCR and LCR). Most notably, a method that does not amplify the  
 15 signal exponentially is more amenable to quantitative analysis. Even if the signal is enhanced by attaching multiple dyes to a single oligonucleotide, the correlation between the final signal intensity and amount of target is direct. Such a system has an additional advantage that the products of the reaction will not themselves promote further reaction, so contamination of lab surfaces by the products is not as much of a  
 20 concern. Traditional methods of direct detection including Northern and Southern blotting and RNase protection assays usually require the use of radioactivity and are not amenable to automation. Recently devised techniques have sought to eliminate the use of radioactivity and/or improve the sensitivity in automatable formats.

One such example is the Cycling Probe Reaction (CPR) [Duck *et al.*, *BioTech.*, **9**:142  
 25 (1990)]. CPR, uses a long chimeric oligonucleotide in which a central portion is made of RNA while the two termini are made of DNA. Hybridization of the probe to a target DNA and exposure to a thermostable RNase H causes the RNA portion to be digested. This destabilizes the remaining DNA portions of the duplex, releasing the

remainder of the probe from the target DNA and allowing another probe molecule to repeat the process. The signal, in the form of cleaved probe molecules, accumulates at a linear rate. While the repeating process increases the signal, the RNA portion of the oligonucleotide is vulnerable to RNases that may be carried through sample preparation.

Single-Strand Conformation Polymorphism (SSCP) is based on the observation that single strands of nucleic acid can take on characteristic conformations in non-denaturing conditions, and these conformations influence electrophoretic mobility. [Hayashi, *PCR Meth. Appl.*, 1:34-38, (1991). The complementary strands assume sufficiently different structures that one strand may be resolved from the other. Changes in sequences within the fragment will also change the conformation, consequently altering the mobility and allowing this to be used as an assay for sequence variations (Orita, *et al.*, *Genomics* 5:874-879, (1989). The SSCP process involves denaturing a DNA segment (*e.g.*, a PCR product) that is labeled on both strands, followed by slow electrophoretic separation on a non-denaturing polyacrylamide gel, so that intra-molecular interactions can form and not be disturbed during the run. This technique is extremely sensitive to variations in gel composition and temperature.

In Fluorescent In Situ Hybridization (FISH), specific probes are designed which can readily distinguish the wild-type gene from the variant/mutant gene. Such methodology allows the identification of a variant/mutant gene through *in situ* hybridization (U.S. Patent No. 5,028,525, Issued July 2, 1991; U.S. Patent No. 5,225,326, Issued July 6, 1993; and U.S. Patent No. 5,501,952, Issued March 26, 1996. FISH does not require the extraction of DNA. In addition, procedures for separating fetal blood cells from maternal blood cells are well known in the art allowing the fetus and the mother to be analyzed from the same body fluid sample (*see* U.S. Patent No: 5,629,147, Issued May 13, 1997).

Similarly, antibodies raised against specific mutations and/or variants in the gene products of the genes involved in folate, pyridoxine, or cobalamine metabolism can be used to identify specific polymorphisms. Alternatively, antibodies raised against the wild type proteins can be used to detect and/or quantify the amount of wild type protein present in a given biological sample. In the case in which cross-reacting protein isn't synthesized by the cells of an individual, or is synthesized in significantly lower amounts than those of control subjects, such determinations can be used to identify a genetic risk factor. In addition, these antibodies can be used in methods well known in the art relating to the localization and activity of the gene products, *e.g.*, for Western blotting, imaging the proteins *in situ*, measuring levels thereof in appropriate physiological samples, etc. using any of the detection techniques known in the art. Furthermore, such antibodies can be used in flow cytometry studies, in immunohistochemical staining, and in immunoprecipitation which serves to aid the determination of the level of expression of a protein in the cell or tissue.

In the particular instance when the gene product is an enzyme, *e.g.*, dihydrofolate reductase, the enzymatic activity of a biological sample can be indicative of the presence of a genetic risk factor. In a particular embodiment, a decrease in an enzyme activity that is associated with folate, pyridoxine, or cobalamine metabolism can be indicative of the presence of the genetic risk factor. Such assays can be performed on multiple samples such as on a microplate reader [Widemann *et al.*, Clin Chem. 45:223-228 (1999)].

### MODEL 1

#### The Gene-Teratogen Model for the Inheritance Pattern of Certain Developmental Disorders

##### Introduction:

It has long been known, *e.g.* from extensive studies of exogenous teratogens in inbred mice [Finnell and Chernoff, *Gene-teratogen* interactions: an approach to

understanding the metabolic basis of birth defects, In Pharmacokinetics in Teratogenesis, Vol. II:97-109 *Experimental Aspects In Vivo and In Vitro*, CRC Press, Inc, Boca Ratan, Fl. (1987)], that teratogens may be influenced by genetic factors. It is less well known that the same gene defect may cause different clinical disorders depending upon whether the metabolic effect of the gene defect is exerted during gestation *in utero* or during postnatal life. However, the consequences of gene-teratogen interactions in human pedigrees have not been extensively explored, especially the consequences for the use of linkage mapping to identify an unknown gene acting *in utero* to cause a developmental disorder. A number of common human developmental disorders have been shown to have a genetic component to their etiology. However, for certain developmental disorders, the mode of inheritance has been difficult to determine and linkage studies have met with unexpected difficulties or have achieved limited success. These developmental disorders include spina bifida cystica [Chatkupt, *Am J Med Genet*, **44**:508-512 (1992)], Tourette's syndrome & related disorders, *e.g.* obsessive-compulsive disorder and chronic multiple tics syndrome [Pauls, *Adv Neurol*, **58**:151-157 (1992); McMahon *et al.*, *Adv Neurol*, **58**:159-165 (1992); Heutink *et al.*, *Am J Hum Genet*, **57**:465-473 (1995); Grice *et al.*, *Am J Hum Genet*, **59**:644-652 (1996)], learning disorders, including dyslexia [Lewis, *et al.*, *Behav Genet*, **23**:291-297 (1993); Pennington, *J Child Neurol 10 Suppl*, **1**:S69-S77 (1995)], conduct disorder [Lombroso *et al.*, *J Am Acad Child Adolesc Psychiatry*, **33**:921-938 (1994)], attention-deficit hyperactivity disorder [Lombroso *et al.*, *J Am Acad Child Adolesc Psychiatry*, **33**:921-938 (1994)], bipolar illness [Baron, *Acta Psychiatr Scand*, **92**:81-86 (1995); Benjamin and Gershon, *Biol Psychiatry*, **40**:313-316 (1996); Risch and Botstein, *Nature Genet*, **12**:351-353 (1996); Jamison and McInnis, *Nature Med*, **2**:521-522 (1996); Morell, *Science*, **272**:31-32 (1996)], schizophrenia [Owen, *Psychol Med*, **22**:289-293 (1992); Cloninger, *Am J Med Genet*, **54**:83-92 (1994); Lander and Kruglyak, *Nature Genet*, **11**:241-247 (1995); Baron, *Acta Psychiatr Scand*, **92**:81-86 (1995); Benjamin and Gershon, *Biol Psychiatry*, **40**:313-316 (1996); Baron, *Am J Med Genet*, **67**:121-123 (1996)], autism [Lombroso *et al.*, *J Am Acad Child Adolesc Psychiatry*, **33**:921-938 (1994)], and



obsessive-compulsive disorder in adults [Lombroso *et al.*, *J Am Acad Child Adolesc Psychiatry*, 33:921-938 (1994)]. A recent article [Moldin, *Nature Genet.* 17:127-129 (1997)] has reviewed "The maddening hunt for madness genes."

The present model addresses the question of the mode of inheritance of certain developmental disorders and proposes the "gene-teratogen model." The model suggests that the mode of inheritance of genes acting prenatally may in some cases be fundamentally different from that of genes acting postnatally. Even the same gene acting prenatally may produce a different disorder from that gene acting postnatally. The inheritance pattern in the gene-teratogen model is simple, but from the perspective of the patient with the developmental disorder is neither dominant nor recessive. Some disorders regarded as multifactorial, polygenic, or oligogenic may have this mode of inheritance. In the gene-teratogen model, genetically determined teratogen production by the mother during pregnancy damages the fetus producing the abnormal phenotype of a developmental disorder. The model is illustrated with two types of loci, 1. a teratogenic locus acting in the mother, and 2. a modifying or specificity locus acting in the fetus. Damage by the teratogen is influenced also by environmental factors. The model is interesting because it is simple and because teratogenic loci will be difficult to locate by parametric or non-parametric linkage mapping techniques due to misspecification of the affection status of both mother and affected children. A study design is suggested for identifying teratogenic loci. An example of the gene-teratogen model is the major intrauterine effect seen in offspring of phenylketonuric mothers. Certain developmental disorders whose mode of inheritance has been difficult to determine or whose genetic factors have been difficult to locate are candidates for the gene-teratogen model, including spina bifida cystica, Tourette's syndrome, learning disorders including dyslexia, conduct disorder, attention-deficit hyperactivity disorder, bipolar illness, schizophrenia, autism, and obsessive-compulsive disorder.

### The Gene Teratogen Model

The model is described in Table 1 using two kinds of loci: a "teratogenic" locus and a "modifying" or "specificity" locus. The gene-teratogen model requires a teratogenic locus. One or more modifying or specificity loci may or may not be present. Also, two types of phenotypes are defined: 1. the teratogen-induced phenotype; and 2. the teratogenic phenotype, *i.e.*, the phenotype of a mother that produces a teratogenic effect during pregnancy. The two phenotypes are different for the teratogenic locus but are identical for the modifying or specificity loci.

TABLE 1

DIAGRAM OF THE GENE-TERATOGEN MODEL

Grandparents:	Maternal Grandmother AabbCCdd	Maternal Grandfather AaBbCcdd	Paternal Grandmother AAbbCcDd	Paternal Grandfather AAbbCCdd
Parents:	Mother aaBbCcdd		Father AAbbCcDd	
Child:	Child (fetus) with developmental disorder AabbccDd			
locus A:	teratogenic locus, recessive, acting in the mother to cause intrauterine teratogenic damage to the fetus.			
locus B:	teratogenic locus, dominant, acting in the mother to cause intrauterine teratogenic damage to the fetus.			
locus C:	modifying or specificity locus, recessive, acting in the fetus.			
locus D:	modifying or specificity locus, dominant, acting in the fetus.			

The teratogenic locus may be dominant (locus A) or recessive (locus B). This locus acts in the mother during pregnancy to cause an intrauterine teratogenic effect in the fetus. The teratogenic effect may result from the production of an endogenous teratogen, from potentiation of an exogenous teratogen, from a metabolic deprivation or imbalance or from some other mechanism. Only one teratogenic locus is required;

both locus A and locus B are shown on the same diagram for simplicity. A specificity or modifying locus may be dominant (locus C) or recessive (locus D). Such a locus acts during pregnancy or after to modify the extent of the developmental damage done by the teratogenic locus or even to prevent or repair the damage. For example, 5 for a teratogen acting at a certain time in development, locus C or D may determine whether brain or kidney is damaged, which structures of the brain are damaged, or whether damage occurs at all.

1. *Locus A, recessive teratogenic locus, acting in the mother:* The child is the patient with the abnormal phenotype of a specific developmental disorder, while mother, 10 father, and grandparents do not have the abnormal phenotype of that disorder (Table 1). Locus A acts in the mother during pregnancy causing her to produce the teratogenic effect that damages the developing fetus leading to the developmental disorder either in the fetus or postnatally in the child or adult. Since this locus is recessive in action, the mother, a homozygote (aa) for the disease allele, is the genetic 15 "patient." Her abnormal phenotype, the "teratogenic phenotype", is the trait of producing the teratogenic effect during pregnancy. Her fetus, damaged by the teratogenic effect *in utero*, does develop the teratogen-induced phenotype. However, the fetus is only a heterozygote (Aa) at locus A and thus lacks both the abnormal homozygous genotype at locus A and the abnormal teratogenic phenotype; *e.g.*, if the 20 fetus is a daughter, she will not produce the teratogenic effect later during pregnancy. Thus, the fetus is affected with the developmental disorder but is not the genetic "patient." Locus A, acting through a teratogenic effect, cannot be the only etiological factor for the developmental disorder. If it were, then all pregnancies of an aa mother would have the teratogen-induced phenotype which is not the case. Environmental 25 and/or other genetic factors, are required. An aa father will have the abnormal genotype, but not the abnormal teratogenic phenotype because he could never become pregnant.

2. *Locus B, dominant teratogenic locus acting in the mother:* The situation is the same as for locus A except that locus B is dominant in action (Table 1). The mother has the abnormal genotype, Bb, and the abnormal teratogenic phenotype. The fetus has the teratogen-induced phenotype but in the instance shown (Table 1) has neither  
 5 the abnormal genotype, the teratogenic phenotype, nor even a copy of the disease allele. The maternal grandfather shown (Table 1) has the abnormal genotype, Bb, but does not have the teratogenic phenotype because he could never become pregnant.

3. *Environmental effects:* The teratogenic effect is modified by environmental factors, *e.g.* maternal dietary factors, infection, or ingestion of teratogen. These  
 10 environmental factors may interact with locus A or B or may act independently. From the perspective of the fetus later to develop the developmental disorder (teratogen-induced phenotype), intrauterine teratogenic is an environmental not a genetic effect.

4. *Modifying or Specificity Loci Acting in the Fetus, Loci C & D:* These loci may  
 15 interact with the teratogenic locus or the environmental factors to increase or decrease their effect, or alternatively could act independently. Such genetic factors may be recessive (locus C) or dominant (locus D). Genotypes and phenotypes of locus C and D behave conventionally with respect to the developmental disorder. For locus C and D, the fetus is with the developmental disorder is now the genetic "patient". Maternal  
 20 teratogenic *in utero* is an environmental effect. It is thus possible that the same gene locus could act in part as a teratogenic locus and in part as a modifying or specificity locus.

### DISCUSSION

*The Example of Phenylketonuria:* An example of the gene-teratogen model is the  
 25 major intrauterine effect in maternal phenylketonuria (PKU). Phenylketonuria itself is a recessive postnatal disorder. Untreated homozygous PKU mothers and fathers both have elevated blood phenylalanine (hyperphenylalaninemia). However,

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*An Example from Studies in Inbred Mice: Finnell and Chernoff [Gene-teratogen interactions: an approach to understanding the metabolic basis of birth defects, In Pharmacokinetics in Teratogenesis, Vol. II:97-109 Experimental Aspects In Vivo and*

*In Vitro*, CRC Press, Inc, Boca Ratan, Fl. (1987)] have reviewed a group of elegant experiments in inbred mice documenting that differences in susceptibility to exogenous teratogens can be regarded as a genetic trait that is determined by susceptibility or liability genes of either the maternal or fetal genotype [Finnell and Chernoff, *Gene-teratogen* interactions: an approach to understanding the metabolic basis of birth defects, In *Pharmacokinetics in Teratogenesis*, Vol. II:97-109 *Experimental Aspects In Vivo and In Vitro*, CRC Press, Inc, Boca Ratan, Fl. (1987)]; Finnell *et al.*, *Am J. Med. Genet.* **70**:303-311 (1997); Bennett *et al.*, *Epilepsia* **38**:415-423 (1997)]. For example, sensitivity to acetazolamine-induced ectrodactyly is determined by the presence of three genes, and the fetus must be homozygous for the recessive allele at all three loci in order to express the malformation. However, the inbred mouse models used do not mirror the human situation in at least three respects. First, the human population is an outbred population compared to these inbred mouse models. Consequently, the relevant genotypes may be highly variable among members of different families. Second, the inbred mouse experiments address the question of exogenous rather than endogenous teratogens. Third, the inbred mouse studies rely upon known or candidate susceptibility loci, whereas in humans, the problem has been to locate and identify disease unknown loci largely by using linkage mapping techniques.

#### 20 *Implications for Linkage Mapping:*

*Teratogenic Locus (Locus A or B)*: The gene-teratogen model has major implications for linkage mapping done with either parametric or non-parametric methods. The problem for both methods is incorrect assignment of affection status. In the lod score method, a genetic model of the disease is constructed and an affection status is assigned to each member of the pedigree. If the genetic model specified is wrong, the linkage results may be falsely positive or falsely negative [Terwilliger and Ott, *Handbook of Human Genetic Linkage*, Johns Hopkins Univ. Pr., Baltimore (1994)].

In developmental disorders resulting from the gene-teratogen model, the phenotype assignment for lod score analysis will be incorrect. The patient with the developmental disorder will be assigned the affected phenotype, whereas the patient is actually affected only for the teratogen-induced phenotype, but is unaffected for the teratogenic phenotype. Likewise, the mother will be assigned the unaffected phenotype for linkage analysis. Actually, she is unaffected only for the teratogen-induced phenotype, but is affected for the teratogenic phenotype. Lod scores should increase when phenotype assignments have been corrected. However, apparently dominant inheritance may in fact turn out to be pseudodominant if the mutant allele is common in the population. For non-parametric analysis, a similar misassignment occurs. In the case of affected sib-pairs, the affected sibs will be assigned the affected phenotype. Actually, the sibs are affected only for the teratogen-induced phenotype, but are unaffected for the teratogenic phenotype. The mother will be assigned the unaffected or unknown phenotype. Actually, she is unaffected only for the teratogen-induced phenotype but is affected for the teratogenic phenotype. Thus, the "affected sib-pair" families are likely to turn out to contain only a single sporadic case, since the only individual in the kindred affected with the teratogenic phenotype will be the mother.

For the transmission/disequilibrium test (TDT) [Spielman *et al.*, *Am J Hum Genet*, **52**:506-516 (1993); Ewens and Spielman, *Am J Hum Genet*, **57**:455-464 (1995)] the patient with the developmental disorder will be assigned the affected phenotype. Actually, the patient will be affected only for the teratogen-induced phenotype but will be unaffected for the teratogenic phenotype. The mother will be assigned the unaffected or unknown phenotype. Actually, she is unaffected only for the teratogen-induced phenotype but is affected for the teratogenic phenotype. The expectation of TDT is that alleles of a linked locus will show distortion from random transmission from mother (or father) to the patient. Since the patient is unaffected for the teratogenic phenotype, no transmission distortion from mother (or father) to child will be observed. Transmission distortion for alleles of a teratogenic locus will in fact

occur from the mother's parents to the mother, the actual patient for the teratogenic phenotype. But this will not be looked for because the phenotypes have been wrongly assigned. In addition, grandparents of the patients with the developmental disorder have probably not had DNA collected. Therefore, for the TDT, negative results may occur for disease alleles of a teratogenic locus because incorrect phenotype assignments will have been made. When correct phenotype assignments have been made, transmission distortion to the mother from her parents should be expected for disease alleles of a teratogenic locus. Analogous misassignments are made in allelic association and haplotype relative-risk analyses [Falk and Rubinstein, *Ann Hu, Genet*, 51:227-233 (1987); Terwilliger and Ott, *Hum Hered*, 42:337-346 (1992); Thomson, *Am J Hum Genet*, 57:487-498 (1995)].

*Modifying or Specificity Loci (Locus C and/or D):* Since these loci behave in a conventional fashion, the phenotype assignments will be correct. Consequently, genes identified by conventional parametric or non-parametric linkage studies are likely to be modifying or specificity loci. An important question for linkage mapping is the relative contribution to the abnormal phenotype of the developmental disorder made by the teratogenic locus versus that of a modifying or specificity locus. If the effect of a teratogenic locus is small, then loci identified by conventional linkage studies will be specificity or modifying loci and the mode of inheritance will be Mendelian or multifactorial. If a teratogenic locus makes a major contribution to phenotype, then linkage mapping studies will not give a consistent answer and the mode of inheritance will be difficult to determine.

The presence of a teratogenic locus may be suspected if the maternal contribution to phenotype is different from or greater than the paternal contribution. For example, the mother's relatives of spina bifida infants more frequently have affected children than the father's relatives. Suggested explanations for this observation have been mitochondrial inheritance, maternal effect, or genomic imprinting [Chatkupt, *Am J Med Genet*, 44:508-512 (1992)]. The operation of a teratogenic locus is another



explanation and is itself a form of maternal effect. For a recessive teratogenic locus, the mother's sisters would be at greatest risk of having offspring with the teratogen-induced phenotype.

*Implications for Definition of Phenotype:* All the pregnancies of a mother with the teratogenic phenotype are at risk for the developmental disorder, the teratogen-induced phenotype. Yet only a few of the fetuses will be affected by the developmental disorder because of the action of environmental factors and/or the modifying or specificity loci. The action of the environmental factors is fully quantitative: depending upon the amplitude of the environmental effect, a mild, moderate, or severe teratogen-induced phenotype may result. In addition, the environmental factor may act at different times in fetal development producing qualitatively different phenotypes. Thus, quantitatively or qualitatively different teratogen-induced phenotypes may result from pregnancies of the same mother with the teratogenic phenotype. In addition, the action of the modifying or specificity loci may produce quantitatively or qualitatively different phenotypes in offspring of the same couple. Such different phenotypes may be diagnostically classified as different disorders. This may complicate attempts at associating specific loci with a specific teratogen-induced phenotype. All of the teratogen-induced phenotypes resulting from pregnancies of a mother with the teratogenic phenotype modified only by environmental factors are genetically indistinguishable. However, such teratogen-induced phenotypes affected also by the various modifying or specificity loci segregating among the offspring of a single couple are only partially genetically related.

*Methods to Identify Teratogenic Loci:* One effective approach to finding a putative teratogenic locus is to carry out non-parametric linkage studies of families consisting of a patient affected with the developmental disorder, the patient's two (unaffected) parents, and the patient's four (unaffected) grandparents (Table 1). In such a family, the mother is the genetic patient but the other family members are not. Now, the

mother's nuclear family (the mother and her parents) is compared with the father's nuclear family (the father and his parents). In a haplotype relative risk study, the disease allele(s) of the teratogenic locus will occur more frequently in the mother compared with other alleles of her parents; the disease allele(s) of the teratogenic locus will not occur more frequently in the father compared with other alleles of his parents. In a transmission/disequilibrium test, transmission distortion will be seen for the disease allele(s) of a teratogenic locus in the mother's nuclear family but not in the father's nuclear family. In an allelic association study, the disease allele will occur more frequently in mothers, patients (with the developmental disorder), and patient's sibs (both affected and unaffected) than in unrelated control individuals. Disease allele frequency in fathers will not be distinguishable from that in control individuals.

Certain developmental disorders with a genetic component to etiology, whose mode of inheritance has been difficult to determine or whose genetic factors have been difficult to locate, including those mentioned earlier, are candidates for the gene-teratogen model.

#### MODEL 2:

##### The DNA Polymorphism-Diet-Cofactor-Development Hypothesis for Schizophrenia and Other Developmental Disorders

Folate metabolism is complex. At least 30 gene loci are involved in absorption, transport, and metabolism of folate, and these are regulated by additional gene loci. Any of these is potentially a genetic risk factor for schizophrenia, although MTHFR and DHFR are particularly good candidates. Likewise, genes encoding proteins involved in the pathways of other vitamin-cofactors may be genetic risk factors.

Two cofactors that may be of particular potential importance are cobalamin and pyridoxine. Cobalamin is relevant because its metabolism is closely intertwined with that of folate. For example, cobalamin is required for the activity of methionine

DHFR all participate in catabolism of homocysteine, an amino acid that is suspected of being a teratogen during pregnancy. Also, kynureninase, an important enzyme affecting niacin metabolism and serotonin synthesis is pyridoxine-dependent. Therefore, mutations of the genes encoding such proteins, especially common polymorphisms, could play a role in the cause of schizophrenia.

- Since the genetic aspect of schizophrenia differs so profoundly from other disorders which have been identified by linkage mapping techniques, it is clear that a new model for the genetic connection to schizophrenia is required. Therefore, the DNA Polymorphism-Diet-Cofactor-Development (DDCD) hypothesis, is disclosed herein.

The DDCD hypothesis is that interacting genetic and environmental factors affecting the metabolism of folate, cobalamin, or pyridoxine or all of these, play a role in the etiology of schizophrenia. The genetic effect results from the aggregate effect of multiple mutations that individually, for the most part, have small effects on folate-, cobalamin- or pyridoxine-related genes, some of which will be common in the population, and can act *in utero*. Environmental factors include dietary folate and cobalamin and pyridoxine. If schizophrenia results from mild deficiency during fetal

development of dietary folate, cobalamin, or pyridoxine potentiated by mild genetic susceptibility mutations of genes related to these cofactors and by pregnancy, then this would be difficult to document by linkage mapping techniques. An example of interaction of genetic and environmental factors is that genetic factors are important for incorporating dietary folate; the enzyme dihydrofolate reductase is required for conversion of dietary folate to folinic acid thus allowing dietary folate to enter the body's metabolic pathways. Another example is that folate and cobalamin requirements increase during pregnancy; thus pregnancy could potentiate the effects of mild genetic defects of mother, fetus, or both. Deficiencies of a vitamin are often part of a broader dietary deficiency affecting multiple nutrients in addition to the vitamin being measured.

*Locus Heterogeneity:* The metabolic pathways of folate, cobalamin, and pyridoxine are complex and related to each other. Multiple gene loci code for the enzymes and transport proteins are required (Tables 2-7). Thus, a defect of folate, cobalamin, or pyridoxine metabolism could result from the aggregate effect of multiple mutations each of relatively small effect interacting with environmental factors. Different individuals might have different combinations of mutations. Such a metabolic defect would be difficult to detect by linkage mapping techniques because of locus heterogeneity.

Alternatively, even if one genetic defect were sufficient to make an individual more susceptible to having schizophrenic offspring, for example, because of the large number of potential genetic factors, and the corresponding importance of environmental factors, elucidation of such an individual genetic defect would still be difficult unless, of course, the genetic defect caused a major effect. The difficulty in elucidating an individual genetic defect is magnified when the genetic factor acts in the mother, and not in the schizophrenic patient.

*High Disease Allele Frequency:* Numerous mutational variants of folate and cobalamin genes are known. Some of these have functional significance and in addition are sufficiently common in a given population to be regarded as genetic polymorphisms. However, these common alleles are unlikely to have a major harmful effect by themselves, for if they did they would become uncommon in the population in the absence of selection effects, and would likely appear as Mendelian disorders. Thus, the folate, cobalamin, or pyridoxine disease alleles related to schizophrenia would appear to be more likely those of minor deleterious effect or those with harmful effect only in the presence of environmental deficiencies or pregnancy. Such disease genes of high population frequency will be difficult to detect by linkage mapping methods because high disease allele frequency decreases the power of linkage studies [Terwilliger and Ott, *Handbook of Human Genetic Linkage*, John Hopkins Univ. Press, Baltimore, (1994)].

*Developmental Genes:* Folate, cobalamin, and pyridoxine defects act prenatally as well as postnatally. Folate, cobalamin, and pyridoxine metabolism are crucial for DNA synthesis and cell division, which are of disproportionate importance during brain development. Some defects of folate, cobalamin, or pyridoxine metabolism elevate blood homocysteine, a toxic and potentially teratogenic substance. Genes acting in the mother to damage the developing fetus, *e.g. via* the gene-teratogen model (Model 1, above), have a mode of inheritance that is neither dominant nor recessive with respect to the fetus. Attempts to assign a mode of inheritance in this situation will be unsatisfactory because affection status would be incorrectly assigned. The mode of inheritance of a developmental disorder resulting from a teratogenic locus would be regarded as either multifactorial or unknown. This is the situation with schizophrenia whose mode of inheritance is unknown. Use of an incorrect genetic model decreases the power of a linkage studies [Terwilliger and Ott, *Handbook of Human Genetic Linkage*, John Hopkins Univ. Press, Baltimore, (1994)].

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TABLE 2

FOLATE-RELATED GENES/ENZYMES/TRANSPORTERS<sup>a</sup>

	Folate-Related Genes/Enzymes/Tranporters <sup>a</sup>	SEQ ID NO:
	methylenetetrahydrofolate reductase, MTHFR, MIM 236250	1
5	methionine synthase (methyltetrahydrofolate:L-homocysteine S-methyltransferase), MTR, MIM 156570	2
	dihydrofolate reductase, DHFR, MIM 126060	3
	folylpolyglutamate synthase, FPGS, MIM 136510	4
10	folate receptor 1, folate receptor alpha (FOLR1, adult; FR-alpha), MIM 136430	5
	folate receptor 2, folate receptor beta (FOLR2, fetal; FR-beta), MIM 136425 (a.a.)	6
	folate receptor 2-like (FOLR2L, fetal-like), MIM-none	
	folate receptor gamma (FR-gamma), MIM 602469	7
15	serine hydroxymethyltransferase 1, SHMT1, MIM 182144	8
	methylenetetrahydrofolate dehydrogenase, methenyltetrahydrofolate cyclohydrolase, 10-formyltetrahydrofolate synthetase (trifunctional enzyme, MTHFD), MIM 172460	9
	serine hydroxymethyltransferase 2, SHMT2, MIM 138450	10
20	thymidylate synthase, TYMS, MIM 188350	11
	GAR (5-phosphoribosylglycineamide) transformylase, GART, MIM 138440	12
	reduced folate carrier-1, RFC1. Probably identical to micromolar membrane transport protein, intestinal folate carrier-1 (IFC1), and neutral folate transport protein. MIM 600424	13
25	cystathionine beta-synthase, CBS, MIM 236200	14
	AICAR (5-phosphoribosyl-5-aminoimidazole-4-carboxamide) transformylase	15
	glutamate formiminotransferase, MIM 229100	
	forminotetrahydrofolate cyclodeaminase	
	5, 10-methenyltetrahydrofolate synthetase	16
30	10-formyltetrahydrofolate dehydrogenase, Mim 600249	

	Folate-Related Genes/Enzymes/Transporters <sup>a</sup>	SEQ ID NO:
5	glycine cleavage pathway (SHMT plus three enzymes): MIM 238331 Gly-decarboxylase MIM 238300 H-Protein MIM 238330 T-Protein MIM 238310	17 18 19
	cbIG (affects function of MTR), MIM 250940	
	methionine adenosyltransferase 1, MAT1A, (ATP:L-methionine S-adenosyltransferase), MIM 250850	20
10	pteroyl polyglutamate hydrolase ("conjugase"), form 1	
	pteroyl polyglutamate hydrolase ("conjugase"), form 2	
	NAD-dependent enzyme methylene tetrahydrofolate dehydrogenase cyclohydrolase (a.a.)	21
	methionine adenosyltransferase 2, MAT2A, MIM 601468	22
15	5-methyltetrahydrofolate- homocysteine methyltransferase reductase (MTRR) MIM 602568; #Variant in MTRR linked to cblE MIM 236270	23
	methyltransferases	
	S-adenosylmethionine decarboxylase, MIM 180980	24
	decarboxylated S-adenosylmethionine:putrescine propylaminotransferase or spermidine synthetase (a.a.)	25
20	S-adenosylhomocysteine hydrolase, , MIM 180960	26
	betaine-homocysteine methyltransferase dimethylthetin-homocysteine methyltransferase	27
	gamma-cystathionase (L-cystathionine cysteine-lyase (deaminating)), MIM 602888	28
25	folic acid transport protein, MIM 229050	
	DHFR (exon 6 and 3 'flanking region)	30
	kynureninase	35
	human DHFR, exons 1 and 2 [Chen <i>et al.</i> , <i>J. Biol. Chem.</i> <b>259</b> :3933-3943 (1984)]	36
30	<sup>a</sup> listed with alternate names, abbreviations, and MIM numbers; #cblE is a phenotype for a particular group of disorders of folate/cobalamin metabolism. (a.a.) indicates the amino acid sequence	

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TABLE 3  
LOCALIZED GENE LOCI RELATED TO FOLATE METABOLISM

	Gene/enzyme/transport protein	Location	References
	MTHFR	1p36.3	Goyette <i>et al.</i> , (1994); *, **
5	MTR	1q43	Cook and Hamerton, (1979); Mellman <i>et al.</i> , (1979) **
	DHFR	5q11.2-13.2	Weiffenbach <i>et al.</i> , (1991) Gilliam <i>et al.</i> (1989b) *, **
	FPGS	9cen-q34	Jones and Kao (1984); Walter <i>et al.</i> (1992) *, **
	MAT	10q22	**
	FR	11q13.3-q14.1 11q13.3-113.5	Lacey <i>et al.</i> (1989), Ragoussis <i>et al.</i> , (1992); Ratnum <i>et al.</i> (1989); Walter <i>et al.</i> (1992); * Ragoussis <i>et al.</i> , (1992), **
10	SHMT2	12q12-q14 12q13	Garrow <i>et al.</i> , (1993); Law and Kao, (1979) * **
	MTHFD	14q24	Rozen <i>et al.</i> , (1989), Jones <i>et al.</i> (1981), *, **
	LCCL	16pter-qter	*, **
	SHMT1	17p11.2	Garrow <i>et al.</i> , (1993) *, **
	TYMS	18p11.31.-p11.22 18p11.32	* Hori <i>et al.</i> , (1990); Silverman <i>et al.</i> , (1993)
15	SAHH	20cen-q13.1	*
	GART	21q22.1	McInnis <i>et al.</i> (1993) Schild <i>et al.</i> (1990) Avrarmopoulos <i>et al.</i> (1993) Goto <i>et al.</i> (1993) *, **
	RFC1	21q22.2-22.3	Moscow <i>et al.</i> , (1995)

Gene/enzyme/transport protein	Location	References
CBS	21q22.3	Munke <i>et al.</i> , (1988)
5	notes: MTHFR=methylenetetrahydrofolate reductase. MTS=methionine synthase. DHFR=dihydrofolate reductase. FPGS=folylpolyglutamate synthase. MAT=methionine adenosyltransferase, (ATP:L-methionine S-adenosyltransferase). FR=folate receptor complex: FR-alpha=FOLR1=folate receptor 1, adult; FR- beta=FOLR2=folate receptor 2, fetal; FR-gamma; FOLR2L=folate receptor 2-like. SHMT2=serine hydroxymethyltransferase 2, mitochondrial. MTHFD=5, 10- methylenetetrahydrofolate dehydrogenase, 5, 10-methylenetetrahydrofolate cyclohydrolase, 10-formyltetrahydrofolate synthase (trifunctional enzyme). 10 LCCL=gamma-cystathionase (L-cystathionine cysteine-lyase (deaminating). SHMT1=serine hydroxymethyltransferase 1, soluble. TYMS=thymidylate synthetase. SAHH, S-adenosylhomocysteine hydrolase. GART=phosphoribosylglycineamide formyltransferase. RFC1=reduced folate carrier-1 (possibly identical to IFC1, intestinal folate carrier-1). CBS=cystathionine 15 beta-synthase. Location information from GOD (*), from MIM (**).  Goyette <i>et al.</i> , <i>Nat. Gen.</i> 7:195-200 (1994) Cook and Hamerton, <i>Cytogenet Cell Genet.</i> 25:9-20 (1979) Mellman <i>et al.</i> , <i>Proc. Natl. Acad. Sci.</i> 76:405-409 (1979) Weiffenbach <i>et al.</i> , <i>Genomics</i> 10:173-185 (1991) 20 Gilliam <i>et al.</i> , <i>Genomics</i> 5:940-944 (1989b) Jones and Kao, <i>Cytogenet Cell Genet.</i> 37: 499 (1984) Walter <i>et al.</i> , <i>Ann. Hum. Genet.</i> 56:212 (1992) Lacey <i>et al.</i> , <i>Am.J. Med. Genet.</i> 60:172-173 (1989) Ragoussis <i>et al.</i> , <i>Genomics</i> 14:423-430 (1992) 25 Ratnum <i>et al.</i> , <i>Biochem.</i> 28:8249-8254 (1989) Garrow <i>et al.</i> , <i>J. Biol. Chem.</i> 268:11910-11916 (1993). Law and Kao, <i>Cytogenet Cell Genet.</i> 24: 102-114 (1979) Rozen <i>et al.</i> , <i>Ann. Hum. Genet.</i> 44:781-786 (1989) Jones <i>et al.</i> , <i>Somat. Cell Genet.</i> 7:399-409 (1981) 30 Hori <i>et al.</i> , <i>Hum. Genet</i> 85:576-580 (1990) Silverman <i>et al.</i> , <i>Genomics</i> 15:442-445 (1993) McInnis <i>et al.</i> , <i>Genomics</i> 16:562-571 (1993) Schild <i>et al.</i> , <i>Proc. Natl. Acad. Sci</i> 87:2916-2920 (1990) Avrarmopoulos <i>et al.</i> , <i>Genomics</i> 15:98-102 (1993) 35 Goto <i>et al.</i> , <i>Neuromusc Disord.</i> 3:157-160 (1993) Moscow <i>et al.</i> , <i>Cancer Res.</i> 55:3790-3794 (1995) Munke <i>et al.</i> , <i>Am J. Hum. Gen.</i> 42:550-559 (1988)	

*Genes of Cobalamin Metabolism:* Cobalamin metabolism is also complex [Benton and Rosenberg, In: *The Metabolic and Molecular Bases of Inherited Disease,*

40 *Disease*, Scriver *et al.* (eds), New York: McGraw-Hill, 3129-3149 (1995)]. At least 15 gene loci (Table 4) have been identified as cobalamin-related. These contribute to

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TABLE 4COBALAMIN-RELATED GENES/ENZYMES/TRANSPORTERS<sup>a</sup>

	Cobalamin-Related Genes/Enzymes/Transporters <sup>a</sup>	SEQ ID NO:
5	(gastric) intrinsic factor, GIF, MIM-261000 (combined deficiency of GIF & R-binder, MIM 243320)	31
	intrinsic factor receptor, IFCR, MIM-261100	
	transcobalamin I, TCI (an R-protein, plasma), MIM 189905	32
	transcobalamin III, TCIII (an R-protein, plasma), MIM-none	
	other R-proteins (R-binders, cobalophylins, haptocorrins), MIM 193090	
10	transcobalamin II, TCII MIM 275350	33
	transcobalamin II receptor, TCII receptor, MIM-none	
	methylmalonyl Co-A mutase, MCM (MUT locus), MIM 251000	34
	cblF, lysosomal cbl efflux, MIM 277380	
	cblC, cytosolic cbl metabolism, MIM 277400	
15	cblD, cytosolic cbl metabolism, MIM 277410	
	cblA, mitochondrial cbl reduction, (AdoCbl synthesis only), MIM 251100	
	cblB, cob(I)alamin adenosyltransferase, (AdoCbl synthesis only), MIM 251110	
20	cblE, methyltransferase-associated cbl utilization, MIM 236270	
	cblG, methyltransferase-associated cbl utilization, MIM 250940	
	<sup>a</sup> listed with alternate names, abbreviations, and MIM numbers	

TABLE 5

LOCALIZED GENE LOCI RELATED TO COBALAMIN METABOLISM

Gene/enzyme/transport protein	Location	References
MCM (MUT locus)	6p21.2-p21.1	Qureshi <i>et al.</i> (1994) *
IF/GIF	11q12-q13	Hewit <i>et al.</i> (1991) *
TCI (an R-protein, plasma)	11q11-q12.3	Johnston <i>et al.</i> , (1992) Sigal <i>et al.</i> , (1987), *
TCII	22q11.2-q13 22q12/13 border	Li <i>et al.</i> , (1995)
notes: MCM=methymalonyl Co-A mutase; IF/GIF=(gastric) intrinsic factor; TCI=transcobalmin I; TCII=transcobalamin II. Location information from GDB (*), from MIM (**).  Qureshi <i>et al.</i> , <i>Crit. Rev. Oncol. Hematol.</i> <b>17</b> :133-151 (1994) Hewit <i>et al.</i> , <i>Genomics</i> <b>10</b> :432-440 (1991) Johnston <i>et al.</i> , <i>Genomics</i> <b>12</b> :459-464 (1992) Sigal <i>et al.</i> , <i>N. Engl. J. Med.</i> <b>317</b> :1330-1332 (1987) Li <i>et al.</i> , <i>Biochem. Biophys. Res. Comm.</i> <b>208</b> :756-764 (1995)		

*Genes of Pyridoxine Metabolism:* Pyridoxine metabolism is also complex with three dietary forms convertible to pyridoxal phosphate [Whyte *et al.*, *Hypophosphatasia*, In: The Metabolic and Molecular Bases of Inherited Disease, Scriver *et al.* (eds), New York: McGraw-Hill pp. 4095-4111 (1995)] and many pyridoxine-related and pyridoxine-dependent enzymes including decarboxylases and all aminotranferases (Table 6). A number of pyridoxine-related enzymes have been cloned and localized to a chromosomal region (Table 7). Pyridoxine metabolism is related to folate metabolism, especially 1-carbon transfer reactions: both serine hydroxymethyltransferases and the P-protein (glycine decarboxylase) of the glycine breakdown system are pyridoxine-dependent.

TABLE 6SOME PYRIDOXINE-RELATED GENES/ENZYMES/<sup>a</sup>

	1.	cystathionine beta-synthase, CBS,	MIM 236200
	2.	gamma-cystathionase,	MIM 219500
5		(L-cystathionine cysteine-lyase, deaminating), LCCL	
	3.	glycine cleavage system (GCS): glycine decarboxylase (P-protein)	
	4.	serine hydroxymethyltransferase 1, SHMT1,	MIM 182144
	5.	serine hydroxymethyltransferase 2, SHMT2,	MIM 138450
10	6.	kynureninase	MIM 278600
	7.	all aminotransferases,	MIM 258870
		(e.g. ornithine-gamma-aminotranferases, OAT, )	
	8.	decarboxylases,	MIM 266100
15		e.g. glutamic acid decarboxylases, GAD1, GAD2,	
	9.	pyridoxamine(pyridoxine)-5'-phosphate oxidase	MIM 603287

<sup>a</sup>listed with alternate names, abbreviations, and MIM numbers.

TABLE 7

SOME LOCALIZED GENE LOCI RELATED TO PYRIDOXINE METABOLISM

	<u>Gene/enzyme</u>	<u>Location</u>	<u>References</u>
	1. GAD2	2q31,	Bu et al., 1992)
5	2. GCS P-protein	9p13	Hamosh et al.1995)
	3. GAD1	10p11.23	Bu et al.1992)
	4. OAT	10q26	**
	5. SHMT2	12q12-14	Garrow et al., 1993; Law and Kao, 1979
10	6. LCCL	16pter-qter	*, **
	7. SHMT1	17p11.2	Garrow et al.1993 * **
	8. CBS	21q22.3	Munke et al.1988
	9. PNPO (PPO)		Ngo et al. 1998

<sup>a</sup>listed with alternate names, abbreviations, and MIM numbers.

15 Location information from GDB (\*), from MIM (\*\*).

notes: GAD2=glutamic acid decarboxylase 2, 67 kDa. GCS=glycine cleaving system, P-protein=glycine decarboxylase subunit. GAD1=glutamic acid decarboxylase 1, 65 kDa. OAT=ornithine-gamma-aminotranferases. SHMT2=serine hydroxymethyltransferase 2, mitochondrial. LCCL=gamma-cystathionase (L-cystathionine cysteine-lyase (deaminating). SHMT1=serine hydroxymethyltransferase 1, soluble. CBS=cystathionine beta-synthase. PNPO=pyridoxamine(pyridoxine)-5'-phosphate oxidase

References:

- Bu et al., *Proc. Nat. Acad. Sci.*, **89**:2115 (1992).
- 25 Hamosh et al., In: "The Metabolic and Molecular Bases of Inherited Disease", Scriver et al. (eds), New York: McGraw-Hill pp.1337-1348 (1995).
- Garrow et al. *J. Biol. Chem.* **268**:11910-11916 (1993).
- Law and Kao, *Cytogenet Cell Genet*, **24**: 102-114 (1979).
- Munke et al. *Am J. Hum. Gen.* **42**:550-559 (1988).
- 30 Ngo et al. *Biochemistry* **37**:7741-7748 (1998).

*Relevance of Folate, Cobalamine, And Pyridoxine to Schizophrenia:* There is considerable evidence that schizophrenia results, at least in part, from damage to brain development *in utero* that becomes symptomatic in late adolescence or early adulthood. The etiology of schizophrenia has both genetic and environmental components. Because folate, cobalamin, and pyridoxine are all ingested and metabolized, they could potentially be both environmental and genetic factors for schizophrenia. Folate, cobalamin, and pyridoxine are relevant to schizophrenia in important ways. First, all of them are required for cell division because of their role in nucleic acid synthesis [Rosenblatt, In: *The Metabolic and Molecular Bases of Inherited Disease*, Scriver *et al.* (eds) New York: McGraw-Hill, pp. 3111-3128 (1995); Benton and Rosenberg, In: *The Metabolic and Molecular Bases of Inherited Disease*, Scriver *et al.* (eds), New York: McGraw-Hill, 3129-3149 (1995)]. The developmental brain insult implicated in schizophrenia [Akbarian *et al.*, *Arch. Gen. Psychiatry*, **50**:169-177 (1993); Akbarian *et al.*, *Arch. Gen. Psychiatry*, **50**:178-187 (1993)] is an abnormality of neurogenesis and neuronal migration, which are midtrimester events requiring cell division. Thus folate, cobalamin, and pyridoxine deficiencies could result in the widespread decreased grey matter volume observed in schizophrenia.

Individuals that become schizophrenic later in life are more likely to be born during the winter and early spring [Boyd *et al.*, *Schizophr. Bull.*, **12**:173-186 (1986); Kendell and Adams, *Br. J. Psychiatry*, **158**:758-763 (1991); O'Callaghan *et al.*, *Br. J. Psychiatry*, **158**:764-769 (1991)]; this corresponds to midtrimester in late fall & winter. Many folate- and pyridoxine-containing foods, *e.g.* dark green leafy vegetables, are less readily available in late fall & winter in northern climates. Seasonality was found to be a major determinant of micronutrient status including folate status in a population of pregnant and lactating women in The Gambia where folate deficiency was widespread [Bates *et al.* *Eur. J. Clin. Nutr.* **48**:660-668 (1994)]. Dietary cobalamin comes from animal foods, *e.g.* meat, dairy products, and fish, and prolonged dietary insufficiency is required to produce cobalamin deficiency unless a



person is a strict vegetarian or already has subclinical deficiency [Sanders and Reddy, *Am. J. Clin. Nutr.*, **59**:1176S-1181S (1994)]. In fact, a significant fraction of the population already has subclinical deficiency for folate [Lewis *et al.*, *Ann. NY Acad. Sci.*, **678**:360-362 (1993)] and for [Carmel *et al.*, *Arch. Intern. Med.*, **147**:1995-1996 (1987); Pennypacker *et al.*, *J. Am. Geriatr. Soc.*, **40**:1197-1204 (1992); Naurath *et al.*, *Lancet.*, **346**:85-89 (1995); Allen *et al.*, *Am. J. Clin. Nutr.*, **62**:1013-1019 (1995); Black *et al.*, *J. Nutr.*, **124**:1179-1188 (1994)]. Also, the dietary folate requirement increases during pregnancy [Scholl *et al.*, *Am. J. clin. Nutr.*, **63**:520-525 (1996); McPartlin *et al.*, *Lancet.*, **341**:148-149 (1993)] and most women become folate deficient during late pregnancy [Giles, *J. Clin. Pathol.*, **19**:1-11 (1966)]. Cobalamin deficiency is also common during pregnancy [Gadowsky *et al.*, *J. Adolesc. Health*, **16**:465-474 (1995)] although subnormal levels of vitamin B12 during pregnancy must be interpreted with caution [Metz *et al.*, *Am. J. Hemetol.*, **48**:251-255 (1995)]. An increase in schizophrenia births has also been noticed after winter famine [Susser and Lin, *Arch. Gen. Psychiatry*, **49**:983-988 (1992)]; Susser *et al.*, *Arch. Gen. Psychiatry*, **53**:25-31 (1996)], a time when severe dietary deficiency of both folate and cobalamin is more likely. A temporary increase in the incidence of neural tube defects was reported in Jamaica 11-18 months following Hurricane Gilbert and was found to be associated with decreased dietary folate [Duff and Cooper, *Am J. Pub.Health* **84**:473-476 (1994)].

Schizophrenia is also associated with obstetrical complications, e.g. low birth weight and prematurity [Lewis and Murray, *J. Psychiatr. Res.*, **21**:413-421 (1987)]. Low birthweight and prematurity have also been associated with dietary folate deficiency during pregnancy Scholl *et al.*, *Am. J. clin. Nutr.*, **63**:520-525 (1996).

Hyperhomocysteinemia is a risk factor for unexplained recurrent early pregnancy loss [Wouters *et al.*, *Fertil. Steril.*, **60**:820-825 (1993)] and for abruptio placentae [Goddijn-Wesel *et al.*, *Eur. J. Obstet. Gynecol. Reprod. Biol.*, **66**:23-29 (1996)]. Hyperhomocysteinemia may be related to defects in folate-, cobalamin-, or pyridoxine-dependent reactions [Naurath *et al.*, *Lancet.*, **346**:85-89 (1995)].

Interestingly, stillbirths and schizophrenia share a similar seasonality of birth excess [Torrey *et al.*, *Schizophr. Bull.*, **19**:557-562 (1993)]. Also N<sub>2</sub>O, an anaesthetic gas that inhibits MTR, a cobalamin-requiring enzyme of folate metabolism, is a reproductive toxin for both men and women [Louis-Ferdinand, *Adverse Drug React. Toxicol Rev.*, **13**:193-206 (1994)]. Methotrexate, an inhibitor of dihydrofolate reductase (DHFR), induces abortion.

Dietary folate deficiency and low plasma folate are common in inner city urban populations [Scholl *et al.*, *Am. J. clin. Nutr.*, **63**:520-525 (1996)]. Likewise, schizophrenia has been reported to be more common in inner city urban populations [Fuller and Bowler, *Schizophr. Bull.*, **16**:591-604 (1990)]. Also, both low folate intake [Schorah and Wild, *Lancet.*, **341**:1417 (1993)] and schizophrenia [Dohrenwned *et al.*, *Science*, **255**:946-952 (1992)] are correlated with lower socioeconomic status.

Immune function is impaired in folate deficiency [LeLeiko and Chao, In: *Rudolph's Pediatrics*, 20th ed., Stamford, CT: Appleton & Lange, pp. 1001-1010 (1996)], in cobalamin deficiency [Hitzig *et al.*, *Ciba. Found. Symp.*, **68**:77-91 (1978)] and in pyridoxine deficiency [Trakatellis *et al. Postgrad Med. J.* **73**:617-622 (1997)] and deficient individuals are more susceptible to infection. Methotrexate, an inhibitor of dihydrofolate reductase, inhibits immune function [Hughes, In: *Rudolph's Pediatrics*, 20th ed., Stamford, CT: Appletone and Lange, pp. 517-519 (1997)]. And, as mentioned, dietary folate and cobalamin requirements increase during pregnancy [Scholl *et al.*, *Am. J. clin. Nutr.*, **63**:520-525 (1996); McPartlin *et al.*, *Lancet.*, **341**:148-149 (1993)]. This is relevant because the season-of-birth effect just mentioned in connection with dietary folate, or cobalamin deficiency has also been explained by *in utero* infectious illness, the "viral theory" of schizophrenia.

Individuals born following winters with severe influenza epidemics are more likely to develop schizophrenia [Adams *et al.*, *Br. J. Psychiatry*, **163**:522-534 (1993)] though not all studies find this effect. Although it has not been demonstrated that either the schizophrenia fetus or the pregnant mother actually developed influenza, the

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histologic pattern in schizophrenia of a neuronal migration abnormality during brain development has been seen as compatible with a fetal viral infection [Kovelman and Scheibel, *Biol. Psychiatry*, **19**:1601-1621 (1984); Bogerts *et al.*, *Arch. Gen. Psychiatry*, **42**:784-791 (1985); Akbarian *et al.*, *Arch. Gen. Psychiatry*, **50**:169-177 (1993); Akbarian *et al.*, *Arch. Gen. Psychiatry*, **50**:178-187 (1993)]. Thus folate or cobalamin, deficiency during pregnancy could result in greater susceptibility to viral infection affecting mother, fetus, or both. The infectious agent could be influenza itself. Alternatively, a severe influenza epidemic could be a "marker" of a severe winter, and infection by another agent could cause the brain damage. In this way, folate or cobalamin deficiency could cause the season-of-birth effect either through the mechanism of dietary deficiency alone, through maternal immune deficiency and infection, or both.

Methotrexate, a DHFR inhibitor, is also an important therapeutic agent for rheumatoid arthritis. Rheumatoid arthritis has repeatedly been found to have a decreased frequency in schizophrenics, a puzzling finding that remains unexplained [Eaton *et al.*, *Schizophr. Res.*, **6**:181-192 (1992)].

The developmental model of schizophrenia postulates that brain damage sustained in the second trimester of fetal life results in schizophrenia later in development [Brixey *et al.*, *J. Clin. Psychol.*, **49**:447-456 (1993)]. Both folate and cobalamin are already known to contribute to a first trimester fetal nervous system malformation, spina bifida cystica [Kirke *et al.*, *Q. J. Med.*, **86**:703-708 (1993); Gordon, *Brain Dev.*, **17**:307-311 (1995)], and possibly other birth defects [Shaw *et al.*, *Lancet.*, **346**:393-396 (1995); Czeizel, *Lancet.*, **345**:932 (1995)]. Some studies [Whitehead *et al.*, *Q. J. Med.*, **88**:763-766 (1995); van der Put *et al.*, *Lancet.*, **346**:1070-1071 (1995); Ou *et al.*, *Am. J. Med. Genet.*, **63**:610-614 (1996); Chatkupt *et al.*, *Am. Acad. Neurol. Works in Progres*, **WIP4**: (1996)] suggest that a genetic susceptibility factor for spina bifida is a common allele of the folate gene, MTHFR, the nucleotide 677C->T transition converting an alanine residue to valine resulting in a heat-labile enzyme protein.

Homozygotes for this allele, about 10% of the normal population, have lower erythrocyte folate and plasma folate during pregnancy [Molloy *et al.*, *Lancet.*, **349**:1591-1593 (1997)]. Homozygotes for this allele also develop moderately elevated blood homocysteine [van der Put *et al.*, *Lancet.*, **346**:1070-1071 (1995);

5 Frosst *et al.*, *Nature Genet.*, **10**:111-113 (1995)] in the presence of dietary folate deficiency. Moderate hyperhomocysteinemia is toxic to adults [Fermo *et al.*, *Ann. Intern. Med.*, **123**:747-753 (1995)], and toxic to the fetus in early gestation [Wouters *et al.*, *Fertil. Steril.*, **60**:820-825 (1993)], and possibly teratogenic in the first trimester causing neural tube defects [Whitehead *et al.*, *Q. J. Med.*, **88**:763-766 (1995); van der

10 Put *et al.*, *Lancet.*, **346**:1070-1071 (1995); Ou *et al.*, *Am. J. Med. Genet.*, **63**:610-614 (1996). Thus, the MTHFR heat-labile mutation, in the presence of decreased dietary folate in midtrimester, could be teratogenic both through hyperhomocysteinemia and also through folate deficiency causing the developmental brain damage hypothesized in the developmental model of schizophrenia [Brixey *et al.*, *J. Clin. Psychol.*, **49**:447-

15 456 (1993)]. A second common polymorphism of MTHFR, the nt1298 A->C mutation could also be a genetic risk factor for spina bifida [van der Put *et al.*, *Lancet.*, **346**:1070-1071 (1995)].

Schizophrenia is a common disorder, affecting 1% or more of the population [Karno *et al.*, In: *Comprehensive Textbook of Psychiatry*/VI, 6th ed., Baltimore: Williams &

20 Wilkins, pp. 902-910 (1995)]. Thus, if a significant proportion of schizophrenia shares a common etiology, both the genetic susceptibility factors and the environmental factors must be common in the population. As mentioned earlier, a significant fraction of the population is already sub-clinically deficient for folate and for cobalamin; also, pregnancy may increase this fraction since dietary folate and

25 cobalamin requirements increase during that time. Several functional polymorphic alleles of folate and cobalamin genes are also common in the population including the MTHFR mutations just mentioned and polymorphisms of thymidylate synthase [Horie *et al.*, *Cell Struct. Funct.*, **20**:191-197 (1995)], transcobalamin II [Li *et al.*, *Biochim. Biophys. Acta.*, **1219**:515-520 (1994)], and folate-binding proteins [Li *et al.*,

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improved symptoms of depression in an open trial in elderly depressed patients [Guaraldi *et al. Ann.Clin.Psychiatry* 5:101-105 (1993)]. Schizophrenics are reported to have an 80% excess mortality from cardiovascular disease [Gottesman, *Schizophrenia Genesis*, Schizophrenia Genesis- The Origins of Madness, W.H.

- 5 Freeman & Co. N.Y.(1991)]; hyperhomocysteinemia, dietary folate deficiency and the MTHFR 677C->T mutation have been implicated in cardiovascular disease in some studies [Morita *et al., Circulation*, 95:2032-2036 (1997)] but not others (Anderson *et al., J. Am. Coll. Cardiol.* 30:1206-1211 (1997)). Also, kynureninase, an important enzyme of tryptophan metabolism, affecting niacin metabolism and
- 10 serotonin synthesis, is pyridoxine-dependent. Niacin deficiency (pellagra) can cause mental changes including psychosis and hallucinations [Wilson, *Vitamin deficiency and excess*, pp.472-480. In: *Harrison's Principles of Internal Medicine*, (Scriber *et al.* e's.) McGraw-Hill, Inc., N.Y. (1994)]. Also, clozapine, resperidone, and olanzapine are thought to exert their antipsychotic effect in schizophrenia in part through
- 15 serotonin receptor antagonism.

#### *Gene Localization Studies in Schizophrenia and Folate/Cobalamine/Pyridoxine*

- Genes:* If folate, cobalamin, or pyridoxine genes are susceptibility factors for schizophrenia, it is possible that gene localization studies have already identified candidate chromosome regions that contain such a gene (Tables 3, 5, and 7). For three
- 20 folate or cobalamin genes, DHFR, TCNII and TYMS, there is excellent concordance with schizophrenia gene localization studies.

- On chromosome 5, DHFR has been located at 5q11.2-13.2. A schizophrenia translocation [t(1;5)(1q32.3;5q11.2-13.3)] was reported [McGillivray *et al., Am. J. Med. Genet.*, 35:10-13 (1990); Bassett, *Br. J. Psychiatry*, 161:323-334 (1992)]
- 25 affecting 5q11.2-5q13.3. A proband and uncle, both with schizophrenia and eye-tracking abnormalities, had partial trisomy for 5q11.2-5q13.3; the third copy was inserted at 1q32.3 giving a derivative chromosome, der(1)inv ins(1;5)(q32.2;q13.3q11.2). The proband's mother had a balanced translocation but

was phenotypically normal without schizophrenia or eye-tracking abnormalities. She had the derivative chromosome 1 with extra material from chromosome 5 inserted but a corresponding deletion in one of her chromosomes 5. She thus had only two copies of 5q11.2-5q13.3. Further studies [Gilliam *et al.*, *Genomics*, **5**:940-944 (1989)]

5 showed that the DHFR gene is located within this deleted region, 5q11.2-13.3.

Another schizophrenia chromosome abnormality, inv5(p13;q13), has been reported [Bassett, *Br. J. Psychiatry*, **161**:323-334 (1992)] affecting 5q13.

On chromosome 5, two-point lod scores of 4.64 and 2.29 were found [Sherrington *et al.*, *Nature*, **336**:164-167 (1988)] for the polymorphic markers D5S76 and D5S39

10 respectively in the region of the chromosome abnormality just discussed [McGillivray *et al.*, *Am. J. Med. Genet.*, **35**:10-13 (1990); Bassett, *Br. J. Psychiatry*, **161**:323-334 (1992)] affecting 5q11.2-13.3. Two other linkage studies found small positive lod scores in this region [Coon *et al.*, *Biol. Psychiatry*, **34**:277-289 (1993); Kendler and Diehl, *Schizophr. Bull.*, **19**:261-285 (1993)], but numerous other studies excluded this  
15 region under the assumptions and models used [Kendler and Diehl, *Schizophr. Bull.*, **19**:261-285 (1993)].

On chromosome 18, TYMS has been located at 18p11.32-p11.22. A ring chromosome with deletion of 18pter-p11,18q23-qter [Bassett, *Br. J. Psychiatry*, **161**:323-334 (1992)] was reported in a kindred with schizophrenia and bipolar illness

20 [Bassett, *Br. J. Psychiatry*, **161**:323-334 (1992)]. Deletion of a segment of 18p was reported in a schizophrenia chromosome [Bassett, *Br. J. Psychiatry*, **161**:323-334 (1992)].

On chromosome 22, TCNII has been located at 22q11.2-q13, possibly at the 22q12/13 border. High lod scores have consistently been obtained in the region of TCNII:

25 IL2RB, in 22q12-q13.1 gave a lod score [Pulver *et al.*, *Am. J. Med. Genet.*, **54**:3-43 (1994)] of 2.82. Other markers over a broad region of 22q have given suggestive lod scores. D22S278, in 22q12, gave a lod score [Vallada *et al.*, *Am. J. Med. Genet.*,

60:139-146 (1995)] of 1.51. CRYB2, in 22q11.2-q12.1, gave a lod score [Lasseter *et al.*, *Am. J. Med. Genet.*, **60**:172-173 (1995)] of 1.71. D22S10, in 22q11.1-q11.2, gave a lod score [Coon *et al.*, *Biol. Psychiatry*, **34**:277-289 (1993)] of 0.79. Highly significant p-values for non-parametric analyses have also been obtained: D22S278, 5 in 22q12, for example gave  $p=.001$  [Gill *et al.*, *Am. J. Med. Genet.*, **67**:40-45 (1996)].

The deletions of velocardiofacial (VCF) syndrome and related disorders (DiGeorge syndrome (DGS) and CATCH22) are located [Lindsay *et al.*, *Genomics*, **32**:104-112 (1996)] at 22q11.2. A psychotic disorder develops in about 10% of patients with VCF syndrome [Chow *et al.*, *Am. J. Med. Genet.*, **54**:107-112 (1994)]. TCNII is not 10 known to be located at or within these deletions. VCF and related disorders are relatively uncommon compared to schizophrenia; only 2 of 100 randomly selected patients (92 schizophrenics, 5 with schizoaffective disorder, and 3 with schizophreniform disorder) in the Maryland Epidemiological Sample were found [Lindsay *et al.*, *Am. J. Hum. Genet.*, **56**:1502-1503 (1995)] to have VCF-related 15 deletions (and later VCF syndrome) on 22q11.2. Consequently, it is not clear whether schizophrenia linkage studies are detecting a haplotype related to a VCS locus or some other locus in this region, such as TCNII.

For some other folate, cobalamin, or pyridoxine relevant genes, physical or genetic studies of schizophrenia have identified chromosomal regions near the gene.

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## DISCUSSION

The folate-cobalamin hypothesis for schizophrenia is attractive because it suggests that a single mechanism of genetic and environmental factors may play a major role in the etiology and pathogenesis of schizophrenia. The combined result of this mechanism is to damage fetal development, especially brain development by 25 inhibiting nucleic acid synthesis, by affecting gene methylations, by increasing susceptibility to infection, and/or by producing teratogens.



This mechanism addresses several puzzling features of schizophrenia such as the season of birth effect, the association with famine and influenza epidemics, the negative association with rheumatoid arthritis, the associations with obstetrical abnormalities, social class, and urban environment. The mechanism also suggests approaches to diagnostic testing, to prevention, and to improved therapy.

It is not excluded that such a mechanism could also apply to a number of common human developmental disorders that have been shown to have a genetic component to their etiology but whose mode of inheritance has been difficult to determine and for which linkage studies have met with unexpected difficulties or have achieved limited success. These developmental disorders include Tourette's syndrome & related disorders (e.g. obsessive-compulsive disorder and chronic multiple tics syndrome) [Pauls, *Adv Neurol*, **58**:151-157 (1992); McMahon *et al.*, *Adv Neurol*, **58**:159-165 (1992); Heutink *et al.*, *Am J Hum Genet*, **57**:465-473 (1995); Grice *et al.*, *Am J Hum Genet*, **59**:644-652 (1996)], learning disorders, including dyslexia [Lewis, *et al.*, *Behav Genet*, **23**:291-297 (1993); Pennington, *J Child Neurol 10 Suppl*, **1**:S69-S77 (1995)], conduct disorder [Lombroso *et al.*, *J. Am. Acad. Child Adolesc. Psychiatry*, **33**:921-938 (1994)], attention-deficit hyperactivity disorder [Lombroso *et al.*, 1994, *J. Am. Acad. Child Adolesc. Psychiatry*, **33**:921-938 (1994)], bipolar illness [Baron, *Acta. Psychiatr. Scand.*, **92**:81-86 (1995); Benjamin and Gershon, *Biol. Psychiatry*, **40**:313-316 (1996); Risch and Botstein, *Nature Genet.*, **12**:351-353 (1996); Jamison and McInnis, *Nature Med.*, **2**:521-522 (1996); Morell, *Science*, **272**:31-32 (1996)], autism [Lombroso *et al.*, 1994, *J. Am. Acad. Child Adolesc. Psychiatry*, **33**:921-938 (1994)], and obsessive-compulsive disorder in adults [Lombroso *et al.*, 1994, *J. Am. Acad. Child Adolesc. Psychiatry*, **33**:921-938 (1994)]. Some of these disorders have been shown to be associated with schizophrenia.

The present invention may be better understood by reference to the following non-limiting Examples, which are provided as exemplary of the invention. The following Examples are presented in order to more fully illustrate one embodiment of the

[illegible]

(100) *you are angry*    *only you*    *you*  
 [i] *you*    *are*    *angry*    *only*    *you*    *you*  
 [b] *only*    *are*    *angry*    *only*    *you*    *you*  
 [c] *only*    *are*    *angry*    *only*    *you*    *you*

(100) *you are angry*    *only you*    *you*  
 [i] *you*    *are*    *angry*    *only*    *you*    *you*  
 [b] *only*    *are*    *angry*    *only*    *you*    *you*  
 [c] *only*    *are*    *angry*    *only*    *you*    *you*

(100) *you are angry*    *only you*    *you*  
 [i] *you*    *are*    *angry*    *only*    *you*    *you*  
 [b] *only*    *are*    *angry*    *only*    *you*    *you*  
 [c] *only*    *are*    *angry*    *only*    *you*    *you*

(100) *you are angry*    *only you*    *you*  
 [i] *you*    *are*    *angry*    *only*    *you*    *you*  
 [b] *only*    *are*    *angry*    *only*    *you*    *you*  
 [c] *only*    *are*    *angry*    *only*    *you*    *you*

(100) *you are angry*    *only you*    *you*  
 [i] *you*    *are*    *angry*    *only*    *you*    *you*  
 [b] *only*    *are*    *angry*    *only*    *you*    *you*  
 [c] *only*    *are*    *angry*    *only*    *you*    *you*

TABLE 8  
A HYPOTHETICAL PARTIAL REFERENCE DATA SET OF GENETIC  
EXPLANATORY VARIABLES TO ILLUSTRATE DATA STRUCTURE

ID	resp	P111	P112	P211	P212	M111	M112	M311	F511	S2-411	CA1-111
1	1	1	0	1	1	1	1	0	0	1	1
2	1	1	0	0	0	0	0	0	1	0	0
3	1	1	1	1	0	1	0	0	1	1	1
4	1	0	0	0	0	0	0	1	0	0	0
5	1	0	0	1	1	1	1	0	0	0	1
6	0	1	0	0	0	0	0	0	0	0	0
7	0	0	0	0	0	0	0	1	0	0	0
8	0	0	0	1	0	0	0	0	1	1	0
9	0	1	0	0	0	1	0	0	0	1	1
10	0	0	0	0	0	1	0	0	0	1	0
11	...										
n											

For each proband (Table 8), the record contains several variables:

identification number (ID) of the proband.

a binary response variable (resp) for affection status of the proband: response=1, if the proband is affected with schizophrenia; response=0 if proband is unaffected (*i.e.* a control individual). The proband is not necessarily one of the individuals for whom genotype data (explanatory variables) are available. The patient-to-be-diagnosed is assigned response=0 when added to the reference data set.

a set of explanatory variables: *i.e.* sets of genotypes of mutations found in the schizophrenia patients and family members and controls and family members. The schizophrenia patients and the control individuals are probands (P) as is the patient-to-be-diagnosed. Unaffected family members are the proband's mother (M), father (F), sib(s) (S1, S2, etc.), child(ren) (C1, C2, etc.) or other relatives. Data for affected family members, *e.g.* the proband's mother (MA), father (FA), sibs (SA1, SA2, etc.), children (CA1, CA2, etc.), or other relatives, are entered as separate explanatory variables.

*Genetic explanatory variables:* Each individual has 0, 1, or 2 copies of any given mutation allele at a given locus. Thus a genotype at each locus contributes two independent explanatory variables. Most of the affected family members will be relatives of schizophrenia probands, but occasionally a relative of an unaffected proband will turn out to be affected with schizophrenia.

*Mutations are tabulated as explanatory variables:* (see Table 8):

- (i) by the proband or relative in whom they occur, (e.g. P, M, F, S2, C1, MA, FA, SA1, CA1, other);
- (ii) by the specific folate, cobalamin, or pyridoxine gene locus in which they occur (e.g. 1=DHFR locus, 2=MTHFR locus, 3=TCN2 locus, 4=MTR locus, 5=CBS locus, etc.);
- (iii) by the specific mutation within a locus (e.g., 1=the first-designated mutation within a locus, 2=the second-designated mutation within a locus, etc.); and
- (iv) by whether the individual has a single or double dose of the mutation. Thus an explanatory variable P321 records whether the proband has a single dose of the second-designated mutation of the third-designated locus, *i.e.* TCN2. A variable M312 records whether the proband's mother has a double dose of the first-designated TCN2 mutation studied.

In the present hypothetical reference dataset illustrated of genetic explanatory variables (Table 8), partial genotype data for probands, mothers, fathers, sibs and children are given for five gene loci. Not all of the possible explanatory variables are shown. Probands 1-5 are unrelated individuals with the definite clinical diagnosis of schizophrenia; probands 6-10 are unrelated unaffected (control) individuals. Probands 1, 2, 3, 6 and 9 all have a single copy of the first-designated DHFR mutation; proband 3 also has a second copy of that mutation. Probands 1, 3, 5 and 8 all have a single copy of the first-designated mutation at the MTHFR locus; probands 1 and 5 also have a second copy of that mutation. Mothers of probands 1, 3, 5, 9 and 10 all have a single copy of the first-designated DHFR mutation; mothers of probands

1 and 5 also have a second copy of this mutation. Mothers of probands 4 and 7 each have a single copy of the first-designated mutation of TCN2; data for a double dose are not shown. The fathers of probands 2, 3, and 8 each have a single copy of the first designated mutation of CBS; data for a double dose are not shown. The second  
 5 (unaffected) sibs of probands 1, 3, 8, 9, and 10 each have a single copy of the first-designated mutation of MTR; data for a double dose are not shown. The first affected children of probands 1, 3, 5, and 9 each have a single copy of the first-designated mutation of DHFR. Other susceptibility loci and mutations can be incorporated in Table 8 in the same fashion *e.g.*, cytokine gene mutations or  
 10 polymorphisms, or major histocompatibility complex (MHC) mutations or polymorphisms.

*Environmental explanatory variables:* If only genetic explanatory variables (genotype data) are used, the maximum predicted probability that the proband is affected with schizophrenia is expected to be approximately about 0.5 in most  
 15 populations. When environmental risk factors are included as explanatory variables, the maximum predicted probability that the proband is affected with schizophrenia may approach 1.0. Examples of environmental risk factors for a schizophrenia patient include:

- (1) the proband's dietary folate/cobalamin/pyridoxine intake.
- 20 (2) the proband's circulating levels of folate/cobalamin/pyridoxine.
- (3) the proband's circulating levels of homocysteine, methylmalonic acid, or cystathionine. Elevated levels are indicators of subtle folate/cobalamin deficiency.
- (4) the proband's mother's dietary folate/cobalamin/pyridoxine intake at the time of patient diagnosis, during a pregnancy, or during the pregnancy that produced the  
 25 proband.
- (5) the proband's mother's circulating levels of homocysteine, methylmalonic acid, or cystathionine at the time of patient diagnosis, during a pregnancy, or during the pregnancy that produced the proband.

- (6) dietary or circulating folate/cobalamin/pyridoxine or circulating levels of homocysteine, methylmalonic acid, or cystathionine for other family members.
- (7) epidemiological factors related to the proband's gestation and birth, *e.g.* low birth weight or preterm birth, maternal infection, maternal smoking (associated with low plasma folate), season of birth (late winter or spring births are more common in schizophrenia), etc.

#### Method of Data Analysis

The method exemplified herein is based upon the published guide for the SAS system, but other software can be used. The dataset is analyzed using binary logistic regression to model the response probability,  $p_i$ , that the  $i$ th proband's affection status is 1, *i.e.* the probability that the  $i$ th proband has schizophrenia, given the vector of explanatory variables,  $x_i$ . That is:

$$p_i = \text{Prob}(y_i=1|x_i).$$

To do this the logit transformation of  $p_i$  is modeled as a linear function of the explanatory variables in the vector,  $x_i$ :

$$\text{logit}(p_i) = \log(p_i/[1-p_i]) = \alpha + \beta'x_i$$

where:  $\alpha$  is the intercept parameter and

$\beta$  is the vector of slope parameters.

In SAS, the "descending" option is used to model the probability that the response=1, as in the present analysis, rather than response=0.

#### Outputs of binary logistic regression analysis

After analysis of a dataset, the outputs obtained from SAS include:

- (a) Estimates and standard errors of the parameters ( $\alpha$  and  $\beta$ ).

Using estimates of the intercept parameter ( $\alpha$ ) and the slope parameter ( $\beta$ ) for each environmental or genetic risk factor, the logistic regression equation for the dataset can be written.

- (b) Significance tests of the parameters (*e.g.* Wald chi-square). From the corresponding  $p$ -values, the level of significance of each of the environmental or

genetic risk factors is determined. A global significance test of the data with corresponding p-value is also determined.

(c) Odds ratios are given for the slope parameters of each environmental or genetic risk factor. Thus the amount contributed by each environmental or genetic risk factor to the risk of schizophrenia is determined.

(d) The confidence limits for regression parameters and odds ratios are determined.

(e) The predicted probabilities of the observations can be computed, *i.e.* the probability that each individual in the dataset has schizophrenia:

10             $\alpha\sim$  = estimate of the intercept parameter;  
                $\beta\sim$  = vector of the estimates of the slope parameters;  
                $x$     = vector of the explanatory variables;  
                $p\sim$  = predicted probabilities

15            
$$p\sim = \frac{1}{1 + \exp(\alpha\sim - \beta\sim'x)}$$

(f) The model is modified by adding or removing variables until a model is found that best fits the data;

(g) The model is tested for goodness-of-fit. Also, the degree of influence of each specific observation is tested to detect extreme or ill-fitting observations. These may be examples of data entry errors or alternatively, observations that do not fit the present model for schizophrenia.

(h) The probability that a new individual (the patient-to-be-diagnosed) is schizophrenic is then calculated from the final, modified, best fitting regression equation based upon parameters derived from a corrected/modified data set. A simple method of doing this is to add the data for the patient-to-be-diagnosed to the reference data set, a large group of well-studied schizophrenia probands, schizophrenia family members, control probands and control family members for whom data are available for many explanatory variables. A model is created consisting of those informative explanatory variables actually available from the specific patient-to-be-diagnosed and family members participating in the testing. This new combined data set (reference

data set + data from patient-to-be-diagnosed with participating family members) is analyzed by binary logistic regression for the model chosen giving the predicted probability that a proband is affected with schizophrenia for all of the probands including the patient-to-be-diagnosed.

- 5           (i)       A classification table is produced from the data set by the "jack  
knifing" procedure or an approximation to it. This procedure classifies each  
observation as an event or nonevent based on the model that omits the observation  
being classified. A classification table sorts observations into percent correct, percent  
false positives, and percent false negatives at various probability levels and computes  
10   sensitivity and specificity.
- (j)       The data set used for diagnostic testing is constantly being updated  
and the regression equation corrected. For example, stratification by geographic  
residence or geographic origin of ancestors must be considered for some  
environmental or genetic risk factor.
- 15   For example, in Table 9, entries 34-43 are shown for the data file containing  
genotypes of 38 schizophrenic probands plus 211 control probands; the first 38 are  
the affected probands. For individual 302088, the proband is affected ("1"); there is a  
single dose ("1") of the DHFR mutation but not a double dose ("0") and a single dose  
("1") of the MTHFR mutation but not a double dose ("0"). The number 302088  
20   identifies the individual whose genotypes are listed; the proband, in this case, is the  
same individual.



TABLE 9SAS DATAFILE FOR SCHIZOPHRENIA PATIENTS AND CONTROLS

	...						
	...						
5	34	302086	1	1	0	1	1
	35	302088	1	1	0	1	0
	36	302110	1	1	0	1	0
	37	302111	1	1	0	0	0
	38	302136	1	1	1	1	0
10	39	100001	0	1	0	0	0
	40	100061	0	0	0	0	0
	41	100064	0	1	0	1	0
	42	100067	0	0	0	1	0
	43	100073	0	1	0	0	0
15	...						
	...						
	...						
	...						

In Table 10, entries 31-40 are shown for the data file containing genotypes of 35 mothers of schizophrenic probands plus (the same) 211 control probands. For individual 302083, the proband is affected ("1"); there is a single dose of the DHFR mutation ("1") but not a double dose ("0"); there is neither a single ("0") nor a double ("0") dose of the MTHFR mutation. The number 302083 identifies the individual whose genotypes are listed, a mother; the proband, in this case, is a different individual, her affected child.

TABLE 10SAS DATAFILE FOR SCHIZOPHRENIA MOTHERS AND CONTROLS

	...						
	...						
5	31	302083	1	1	0	0	0
	32	302103	1	0	0	1	0
	33	302104	1	0	0	1	0
	34	302105	1	1	0	1	0
	35	302120	1	0	0	0	0
10	36	100001	0	1	0	0	0
	37	100061	0	0	0	0	0
	38	100064	0	1	0	1	0
	39	100067	0	0	0	1	0
	40	100073	0	1	0	0	0
15	...						
	...						

In Table 11, entries 11-20 are shown for the data file containing genotypes of 15 fathers of schizophrenic probands plus (the same) 211 control probands. For individual 302084, the proband is affected ("1"); there is a single dose ("1") but not a double dose ("0") of the DHFR mutation; there is both a single ("1") and a double dose ("1") of the MTHFR mutation. The number 302084 identifies the individual whose genotypes are listed, a father; the proband, in this case, is a different individual, his affected child.

TABLE 11SAS DATAFILE FOR SCHIZOPHRENIA FATHERS AND CONTROLS

	...						
	...						
5	11	302102	1	0	0	0	0
	12	302106	1	1	0	0	0
	13	302115	1	1	0	0	0
	14	302117	1	1	0	0	0
	15	302084	1	1	0	1	1
10	16	100001	0	1	0	0	0
	17	100061	0	0	0	0	0
	18	100064	0	1	0	1	0
	19	100067	0	0	0	1	0
	20	100073	0	1	0	0	0
15	...						
	...						

In Table 12, entries 9-18 are shown for the data file containing genotypes of 13 unaffected sibs of schizophrenic probands plus (the same) 211 control probands. For individual 302089, the proband is affected ("1"); there is a single dose ("1") but not a double dose ("0") of the DHFR mutation; there is both a single ("1") and a double dose ("1") of the MTHFR mutation. The number 302089 identifies the individual whose genotypes are listed, an unaffected sib; the proband, in this case, is a different individual, the affected sib of individual 302089.

TABLE 12SAS DATAFILE FOR SCHIZOPHRENIA SIBS AND CONTROLS

...							
5	...						
	09	302071		1	1	0	0 0
	10	302073	1	0	0	1	0
	11	302089	1	1	0	1	1
	12	302118	1	1	0	0	0
10	13	302126	1	1	0	0	0
	14	100001	0	1	0	0	0
	15	100061	0	0	0	0	0
	16	100064	0	1	0	1	0
	17	100067	0	0	0	1	0
15	18	100073	0	1	0	0	0
...							
...							

In Tables 9-12 for individual 100061, the proband is unaffected ("0"); there is neither a single dose ("0") nor a double dose ("0") of the DHFR mutation; there is neither a single dose ("0") nor a double dose ("0") of the MTHFR mutation. Since the proband is unaffected, this is a control individual. The number 100061 identifies the individual whose genotypes are listed, as a control individual; the proband, in this case, is the same individual. The identical group of control individuals is used for all four comparisons.

EXAMPLE 2Distribution of Folate Gene Polymorphism Genotypes Among Schizophrenics,  
Schizophrenia Parents, Schizophrenia Sibs, and ControlsSummary

- 5 The DNA polymorphism-Diet-Cofactor-Development hypothesis (DDCD hypothesis, described above) postulates that schizophrenia results in part from developmental brain damage sustained *in utero* from the aggregate effect of maternal defects of genes related to important cofactors, *e.g.* folate, cobalamin, pyridoxine, potentiated by a maternal dietary deficiency of these cofactors. The maternal damage to the fetus
- 10 results in part from insufficiency of these cofactors themselves and in part from resulting effects such as immune deficiency and maternal teratogens, *e.g.* hyperhomocysteinemia. Genes from either parent acting in the fetus may modify these damaging effects as outlined in the gene-teratogen model (described above).

- The hypothesis addresses all of the unusual biological and epidemiological features of
- 15 schizophrenia: *e.g.* the decreased amount of grey matter in brain areas, the unusual birth-month effect, the geographical differences in incidence, the socioeconomic predilection, the association with obstetrical abnormalities (low birth weight and prematurity), the decreased incidence of rheumatoid arthritis, and the association with viral epidemics (described above).

- 20 The hypothesis can be supported by finding significant association of sequence variants of folate, cobalamin, or pyridoxine genes with schizophrenia. Folate, cobalamin, and pyridoxine absorption, transport, and metabolism are complex [Rosenblatt, In: *The Metabolic and Molecular Bases of Inherited Disease*, Scriver *et al.* (eds), New York: McGraw-Hill, pp. 3111-3128 (1995); Benton and Rosenberg, In:
- 25 *The Metabolic and Molecular Bases of Inherited Disease*, Scriver *et al.* (eds), New York: McGraw-Hill, pp. 3129-3149 (1995); Whyte *et al.*, *Hypophosphatasia*, In: The

Metabolic and Molecular Bases of Inherited Disease, Scriver *et al.* (eds), New York: McGraw-Hill pp. 4095-4111] with multiple transport proteins, enzymes, and regulatory components. A strong candidate for harboring a mutation predisposing to schizophrenia is the DHFR gene coding for the folate enzyme dihydrofolate

- 5 reductase. DHFR chemically reduces dietary folate converting it into a form that can enter cellular metabolism. DHFR is also important for DNA synthesis and is known to play a major role in development *in utero*. A novel polymorphic 19 basepair deletion of the DHFR gene has been isolated which could be of functional significance because it affects potential transcription factor binding sites.
- 10 A second candidate is the MTHFR gene, coding for methylenetetrahydrofolate reductase, MTHFR, an important enzyme of folate metabolism. MTHFR was of particular interest because severe deficiency of enzyme activity has been associated with the "schizophrenia" phenotype [Freeman *et al.*, *N. Engl. J. Med.*, **292**:491-496 (1975); Regland *et al.*, *J. Neural Transm. Gen. Sect.*, **98**:143-152 (1994)] and because
- 15 a common mutation, the nt677 C->T transition results in a mutated gene that encodes a heat-labile MTHFR, having decreased enzymatic activity, which in the presence of dietary folate deficiency, causes the plasma homocysteine of homozygotes to become elevated [van der Put *et al.*, *Lancet.*, **346**:1070-1071 (1995); Frosst *et al.*, *Nature Genet.*, **10**:111-113 (1995)]. In adults, hyperhomocysteinemia is known to cause
- 20 vascular disease and to be toxic [Frosst *et al.*, *Nature Genet.*, **10**:111-113 (1995)]. Therefore, homocysteine that crosses the placenta could act as a fetal teratogen during pregnancy. Maternal folate deficiency could also have a more direct teratogenic effect through fetal folate deprivation. These effects could be potentiated by abnormalities of other folate, cobalamin, or pyridoxine genes, even if these
- 25 abnormalities were only minor.

#### Materials & Methods:

1. *Subjects and Sample Collection:* Patients with schizophrenia and unaffected family members of schizophrenics, were ascertained from patient facilities, patient support

groups, and family support group organizations. Nearly all schizophrenia families had only a single case of schizophrenia. The patients came from different schizophrenia families than the parents and sibs. The controls were unaffected and unrelated individuals not known to be schizophrenic or related to patients with  
 5 schizophrenia or spina bifida. All subjects were of Caucasian background except two of the schizophrenia patients who were of African American background.

After informed consent was obtained, 20-40 ml of blood was collected into EDTA (purple-top) vacutainers, placed on ice immediately, and transported to the laboratory where plasma, packed red cells, and buffy coat were separated by centrifugation and  
 10 frozen at -80°C.

2. *Detection of Alleles:* DNA was isolated using the QIAmp column DNA extraction procedure or the QIAGEN Genomic-tip method (QIAGEN, Chatsworth, CA). Alleles for a newly detected polymorphic 19 bp deletion in the dihydrofolate reductase (DHFR) gene were determined by polymerase chain reaction (PCR) amplification of  
 15 the region surrounding the deletion using specific primers (Fig 1) and direct detection of the PCR products after separation of products on a non-denaturing polyacrylamide gel. A Cetus - Perkin-Elmer 9600 thermocycler was used. Briefly, the PCR reaction contained 200 uM dNTPs, 1.5 mM MgCl<sub>2</sub>, 10 pmols of each primer, in 10 ul reaction volume. The PCR conditions used were denaturation at 94°C for 6 min. initially,  
 20 followed by 35 cycles of 94°C for 55 sec., 60°C for 55 sec., and 72°C for 55 sec. and a final extension at 72°C for 12 min.

Alleles for the 677C->T transition of the methylenetetrahydrofolate reductase (MTHFR) gene were determined by cleavage with the restriction endonuclease, HinfI, of PCR-amplified genomic DNA from blood and separation of the products by  
 25 non-denaturing polyacrylamide gel electrophoresis [Frosst *et al.*, *Nature Genet.*, 10:111-113 (1995)].

3. *Sequencing the Region Around the DHFR Deletion:* Using the same primers (Figure 1), genomic DNA from individuals with 1,1 and 2,2 genotypes was amplified by PCR and the products sequenced using an ABI PRISM 377 automated sequencer. Restriction sites were identified using the MAP Program in the GCG Package.

- 5 Potential transcription factor binding sites were detected with the TESS program (transcription element search software, URL:<http://agave.humgen.upenn.edu/tess/index.html>).

4. *Data Analysis:* Since the mode of inheritance of schizophrenia is unknown, binary logistic regression was used to test the DHFR deletion allele and the MTHFR

- 10 heat-labile allele as genetic risk factors for schizophrenia. Either the DHFR deletion polymorphism or the MTHFR heat-labile allele could itself be a genetic risk factor for schizophrenia. The genotypes of the two folate gene polymorphisms were used as explanatory variables. Genotypes of schizophrenia patients, parents, or sibs were compared with those of controls.

- 15 Four files were constructed consisting of schizophrenia patients+controls, mothers of schizophrenia patients+controls, fathers of schizophrenia patients+controls, and sibs of schizophrenia patients+controls for input into the SAS System. Each dataset contained 6 variables. In order, these were:

1. six digit identification (ID) number;
- 20 2. response variable, *i.e.* affection status of the proband  
(0=unaffected, *i.e.* control individual; 1=affected, *i.e.* schizophrenia patient);
3. DHFR mutation-single dose (Ds);
4. DHFR mutation-double dose (Dd);
5. MTHFR mutation-single dose (Ms); and
- 25 6. MTHFR mutation-double dose (Md).

For mutation data, 0=mutation absent, 1=mutation present.



### Results

*Alleles of the DHFR 19 bp Deletion Polymorphism:* Amplification of the region of intron 1 of DHFR defined by the primers in Figure 1 gave two polymorphic bands of 232 and 213 bp after separation on a non-denaturing polyacrylamide gel (Figure 2).

- 5 Sequencing the PCR products from the two homozygotes showed that they differed by 19 bp (Figure 3). The upper and lower bands (Figure 2), non-deletion allele and deletion allele respectively, were designated alleles 1 and 2 respectively. Comparison with two published sequences showed that allele 1 was identical with one of them [Yang *et al. J. Mol. Biol.* **176**:169-187 (1984)] indicating that allele 2 resulted from a
- 10 19 bp deletion. The other published sequence [Chen *et al. J. Biol. Chem.* **259**:3933-3943 (1984)] was lacking one base pair of allele 1, an A indicated by "\*" in Fig 3. It is possible that this shorter reference sequence [Chen *et al. J. Biol. Chem.* **259**:3933-3943 (1984)] resulted from a sequencing artifact.

- Sequences in the 19 bp Deleted Region of DHFR Intron 1:* The 19bp sequence in the
- 15 deleted region (Fig 3) of DHFR intron 1 contained sites for several restriction enzymes including RsaI and ScrFI, and potential binding sites for transcription factors including Sp1, NF-kappaB, CP1 (NF-Y), E2F, ETF and GCF in the 19 base pair region.

- Binary Logistic Regression Analysis:* The number of individuals with each genotype
- 20 of the two polymorphisms among 38 unrelated schizophrenia probands, 35 unrelated mothers of schizophrenia probands, 15 unrelated fathers of schizophrenia probands, 13 unrelated unaffected sibs of schizophrenia probands, and 211 unrelated unaffected control probands is shown in Table 13.

TABLE 13  
DISTRIBUTION OF DHFR AND MTHFR MUTATION GENOTYPES  
AND ALLELES AMONG CONTROLS, SCHIZOPHRENICS,  
AND SCHIZOPHRENIA FAMILY MEMBERS

5		<u>DHFR 19 bp deletion polymorphism:</u>				
	--GenTyp--	-----Schizophrenia-----				---Ctrl---
		P	M	F	S	
	1/1	6 (.16)	10 (.29)	4 (.27)	4 (.31)	56 (.26)
	1/2	22 (.58)	13 (.37)	11 (.73)	8 (.61)	115 (.54)
10	2/2	10 (.26)	12 (.34)	0 (0.0)	1 (.08)	40 (.19)
	total	38 (1.00)	35 (1.00)	15 (1.00)	13 (1.00)	211 (.99)
		<u>MTHFR 677C-&gt;T transition polymorphism:</u>				
	--GenTyp--	-----Schizophrenia-----				---Ctrl---
		P	M	F	S	
15	1/1	14 (.37)	16 (.46)	11 (.73)	4 (.31)	103 (.49)
	1/2	18 (.47)	18 (.51)	3 (.20)	8 (.61)	78 (.37)
	2/2	6 (.16)	1 (.03)	1 (.07)	1 (.08)	30 (.14)
	total	38 (1.00)	35 (1.00)	15 (1.00)	13 (1.00)	211 (1.00)

P=schizophrenia patients; M=mothers of schizophrenia patients; F=fathers of  
 20 schizophrenia patients; S=unaffected sibs of schizophrenia patients; Ctrl=control  
 individuals.

The four data files were analyzed using the logistic procedure of SAS (SAS Institute Inc., 1995) and the "descending" option, which modeled the probability that RESPONSE=1, that is, the probability that the proband was affected with schizophrenia. Note that the proband was not always the individual whose genotype data were used. For example, genotype data for mothers of schizophrenic probands were used to determine the probability that their children, the probands, were affected. Use of the "best" model selection options for logistic analysis in SAS gave the best models for two and three explanatory variables, (Table 14).

09577066 052300  
005250 9937560

## BINARY LOGISTIC REGRESSION RESULTS

<u>GENETIC RISK FACTOR</u>	<u>MODEL: Ds Dd Ms Md</u>
Odds Ratio (p value)	
<u>Schizophrenia Patients</u>	
Ds OR(p)	1.937 (.18)
Dd OR(p)	1.263 (.59)
Ms OR(p)	1.775 (.14)
Md OR(p)	0.914 (.86)
<u>Mothers of Schizophrenia Patients</u>	
Ds OR(p)	0.630 (.31)
Dd OR(p)	2.653 (.028)*
Ms OR(p)	1.439 (.34)
Md OR(p)	0.143 (.065)
<u>Fathers of Schizophrenia Patients</u>	
Ds OR(p)	1.178 (.79)
Dd OR(p)	0.000 (.96)
Ms OR(p)	0.366 (.14)
Md OR(p)	0.841 (.88)
<u>Unaffected Sibs of Schizophrenia Patients</u>	
Ds OR(p)	1.104 (.88)
Dd OR(p)	0.337 (.31)
Ms OR(p)	2.688 (.12)
Md OR(p)	0.317 (.29)

Notes For Table 14DHFR 19 bp deletion:

Ds=single dose;

Dd=double dose

MTHFR 677C->T mutation: Ms=single dose;

Md=double dose

Logistic regression model:

Model with four explanatory variables (Ms, Md, Ds and Dd).

OR(p)=odds ratio and the corresponding p-value for that odds ratio  
determination \*=significant at the  $p \leq .05$  level.

0.000 odds ratios occurred since none of the fathers of schizophrenia patients had genotype Dd; there was a possibly quasi- complete separation in the sample points; the maximum likelihood estimate may not exist; and therefore validity of the model fit for these odds ratios was questionable.

The comparison of mothers of schizophrenia probands with control probands was statistically significant. Ds was not a significant genetic risk factor. Neither Ms nor Md in mothers was a significant genetic risk factor. However, the p-value for Md decreased and approached significance ( $p=.065$ ) at the  $p < .05$  level.

- 5 *Predicted Probabilities of the Various Genotypes:* The "probs predicted" modality of SAS, gave the predicted probability that the proband was affected with schizophrenia (response=1) given genotype data for control probands and schizophrenia patients (probands), mothers of schizophrenia probands, fathers of schizophrenia probands, or sibs of schizophrenia probands. The maximum probabilities obtained are shown in
- 10 Table 15. The highest maximum predicted probability that the proband was affected was obtained for genotype data from mothers of schizophrenia probands, next for schizophrenia probands, next for fathers of schizophrenia probands, and lowest for sibs of schizophrenia probands.

TABLE 15  
MAXIMUM PREDICTED PROBABILITY

<u>Model</u>	<u>P</u>	<u>M</u>	<u>F</u>	<u>S</u>
Ds Dd Ms Md 0.24	0.29	0.12	0.11	

Model and explanatory variables are the same as in Table 14.

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*Determination of Genotypes Conferring the Highest Risk:* The predicted probabilities that the proband was affected with schizophrenia given specific genotypes of control probands and schizophrenia probands, mothers of schizophrenia probands, fathers of schizophrenia probands, or sibs of schizophrenia probands were determined using the model containing all four explanatory variables (Table 16). The predicted probabilities that the proband was affected with schizophrenia were highest for maternal genotypes (Table 15). The maternal genotype with the highest risk was Dd Ms, conferring a probability of 0.29 of schizophrenia in the proband (Table 16). The Dd Ms genotype also gave the highest predicted probability, 0.24, for schizophrenia patients.

TABLE 16  
PREDICTED PROBABILITIES FOR SPECIFIC GENOTYPES

Model: Ds Dd Ms Md

<u>Genotype</u>	<u>Predicted</u> <u>Probability</u>	<u>Genotype</u>	<u>Predicted</u> <u>Probability</u>
<u>Schizophrenia Patients:</u>			
Dnull + Mnull	0.07	Ds + Ms	0.20
Dnull + Ms	0.12	Ds + Md	0.19
Dnull + Md	0.11	Dd + Ms	0.24
Ds + Mnull	0.12	Dd + Md	0.23
Dd + Mnull	0.15		
<u>Mothers of Schizophrenia Patients:</u>			
Dnull + Mnull	0.16	Ds + Ms	0.13
Dnull + Ms	0.20	Ds + Md	0.02
Dnull + Md	0.03	Dd + Ms	0.29
Dd + Mnull	0.22	Dd + Md	0.06
Ds + Mnull	0.10		
<u>Fathers of Schizophrenia Patients:</u>			
Dnull + Mnull	0.10	Ds + Ms	0.05
Dnull + Ms	0.04	Ds + Md	0.04
Dnull + Md	0.03	Dd + Ms	0.0
Ds + Mnull	0.12	Dd + Md	0.0
Dd + Mnull	0.0		
<u>Unaffected Sibs of Schizophrenia Patients:</u>			
Dnull + Mnull	0.04	Ds + Ms	0.11
Dnull + Ms	0.10	Ds + Md	0.04
Dnull + Md	0.03	Dd + Ms	0.04
Ds + Mnull	0.04	Dd + Md	0.01
Dd + Mnull	0.02		

Genotypes consist of the same explanatory variables described in Table 14 except that Dnull has no copy of the DHFR deletion and Mnull has no copy of the MTHFR 677C->T variant. Odds ratios of 0.0 were unsatisfactory as described in Table 14.

### Discussion

*Structure and Function of the DHFR 19 bp Deletion Polymorphism:* DHFR polymorphisms have been reported previously [Feder *et al.*, *Nucl. Acids Res.* **15**:5906 (1987); Detera-Wadleigh *et al.*, *Nucl. Acids Res.* **17**:6432 (1989)]. It is known that introns are important for message regulation *e.g.*, splicing, or as sites for binding transcription factors. Since the first intron is a relatively common location for regulatory elements, it is possible that the deleted region of DHFR intron 1 could play a role in regulation of DHFR or that the deletion could be a genetic risk factor for schizophrenia because it removes potential transcription factor binding sites.

Abnormalities of transcription factors and their binding sites may play a role in disease. For example, a polymorphic Sp1 binding site in the collagen type I alpha 1 gene has been associated with reduced bone density and osteoporosis [Grant *et al.*, *Nature Genet.* **14**:203-205 (1996)].

*The Nature of the Putative Folate Genetic Risk Factors for Schizophrenia:* Dd in the mother of a schizophrenia proband conferred significantly increased risk of schizophrenia in her child (Table 14). The findings that Dd was a genetic risk factor in mothers but not fathers of schizophrenia probands (Table 15) and that Dd in mothers gave a higher predicted probability than in schizophrenia patients, fathers or sibs (Tables 15 and 16) was consistent with the role of DHFR as a teratogenic locus according to the gene-teratogen model (described above). The finding that a double dose but not a single dose of the DHFR deletion in mothers was a genetic risk factor (Table 16) supported a recessive mode of action in the mother. A teratogenic locus acting in the mother can also act as a modifying or specificity locus in the fetus.

Neither Ms nor Md in mothers of schizophrenia probands showed statistical significance as genetic risk factors for schizophrenia in probands (Table 14). However Md in mothers approached statistical significance ( $p=.065$ ) and appeared to be protective (odds ratio 0.14), while Ms in mothers appeared to increase risk modestly (odds ratio 1.44,  $p=.34$ ).



N.Y.(1991)] to be only 48%, a large part of the risk for schizophrenia would be

5 anticipated to come from environmental factors. Therefore, some controls should have the genetic risk factors for schizophrenia but not be affected with schizophrenia. In the present data set, 6 of 35 schizophrenia mothers and 7 of 38 schizophrenia patients had Dd Ms, the genotype conferring the highest risk, compared with 15 of 211 controls. Since this genotype gave predicted probabilities of schizophrenia in probands of 0.29 and 0.24 respectively, polymorphisms of DHFR and MTHFR could  
10 account for a considerable portion of the genetic component of the risk of schizophrenia.

schizophrenia translocation was reported (McGillivray et al.1990; Bassett, 1992)

affecting 5q11.2-5q13.3. Also two-point lod scores of 4.64 and 2.29 were found [Sherrington *et al.*, *Nature*, **336**:164-167 (1988)] for the polymorphic markers D5S76 and D5S39 respectively on chromosome 5, in this region [McGillivray *et al.*, *Am. J. Med. Genet.*, **35**:10-13 (1990); Bassett, *Br. J. Psychiatry*, **161**:323-334 (1992)]. Two other linkage studies found small positive lod scores in this region [Coon *et al.*, *Biol. Psychiatry*, **34**:277-289 (1993); Kendler and Diehl, *Schizophr. Bull.*, **19**:261-285 (1993)], but numerous other studies excluded this region under the assumptions and models used [Kendler and Diehl, *Schizophr. Bull.*, **19**:261-285 (1993)]. Recently, new studies have found suggestive evidence for a potential susceptibility locus at a different region of 5q, 5q31 [Schwab *et al.*, *Nat. Genet.* **11**:325-327 (1997)] and 5q22-31 [Straub *et al.*, *Molec Psychiatr.* **2**:148-155 (1997)].

The case-control study presented herein illustrates the usefulness of the DNA polymorphism-Diet-Cofactor-Development and the gene-teratogen models described

The present invention is not to be limited in scope by specific embodiments described  
5 herein. Indeed, various modifications of the invention in addition to those described  
herein will become apparent to those skilled in the art from the foregoing description  
and the accompanying figures. Such modifications are intended to fall within the  
scope of the appended claims.

Various publications in addition to the immediately foregoing are cited herein, the  
10 disclosures of which are incorporated by reference in their entireties.